## Polynomial to Exponential transition in Ramsey theory

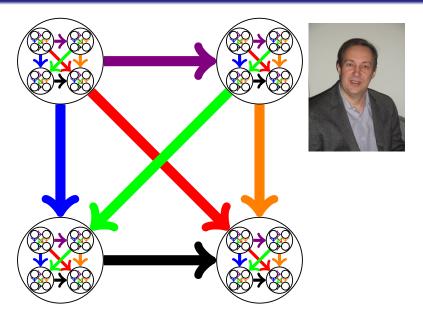
Dhruv Mubayi

## Department of Mathematics, Statistics and Computer Science University of Illinois at Chicago

(joint work with Alexander Razborov)

Banff, 2019

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00



#### Definition (Ramsey's theorem)

Given  $k \ge 2$  and k-uniform hypergraphs  $H_1, H_2$ , the ramsey number

 $r(H_1, H_2)$ 

is the minimum N such that every red/blue coloring of the k-sets of [N] results in a red copy of  $H_1$  or a blue copy of  $H_2$ . Write

 $r_k(s,n) := r(K_s^k, K_n^k).$ 

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

#### Definition (Ramsey's theorem)

Given  $k \ge 2$  and k-uniform hypergraphs  $H_1, H_2$ , the ramsey number

 $r(H_1, H_2)$ 

is the minimum N such that every red/blue coloring of the k-sets of [N] results in a red copy of  $H_1$  or a blue copy of  $H_2$ . Write

 $r_k(s,n) := r(K_s^k, K_n^k).$ 

#### Observation

Note that  $r_k(s, n) \leq N$  is equivalent to saying that every N-vertex  $K_s^k$ -free k-uniform hypergraph H has  $\alpha(H) \geq n$ .

## Graphs

Theorem (Spencer 1977, Conlon 2008)

$$(1+o(1))rac{\sqrt{2}}{e}n2^{n/2} < r_2(n,n) < rac{4^n}{n^{c\log n/\log\log n}}$$

Theorem (Ajtai-Komlós-Szemerédi 1980, Kim 1995, sharper results by Shearer, Bohman-Keevash, Fiz Pontiveros-Griffiths-Morris)

$$r_2(3,n) = \Theta\left(\frac{n^2}{\log n}\right)$$

▲□▶ ▲□▶ ▲三▶ ▲三▶ - 三 - のへで

## Graphs

Theorem (Spencer 1977, Conlon 2008)

$$(1+o(1))\frac{\sqrt{2}}{e}n2^{n/2} < r_2(n,n) < \frac{4^n}{n^{c\log n/\log\log n}}$$

Theorem (Ajtai-Komlós-Szemerédi 1980, Kim 1995, sharper results by Shearer, Bohman-Keevash, Fiz Pontiveros-Griffiths-Morris)

$$r_2(3,n) = \Theta\left(\frac{n^2}{\log n}\right)$$

#### Theorem

For fixed  $s \geq 3$ 

$$n^{(s+1)/2+o(1)} < r_2(s,n) < n^{s-1+o(1)}$$

## Pseudorandom Ramsey Graphs

## Definition (Alon?)

An  $(n, d, \lambda)$  graph is an n-vertex d-regular graph such that the absolute value of every eigenvalue of its adjacency matrix, besides the largest one, is at most  $\lambda$ .

#### Conjecture (Sudakov-Szabo-Vu 2005)

For each fixed  $s \ge 3$ , there exist "optimal"  $K_s$ -free  $(n, d, \lambda)$  graphs. I.e., graphs containing no  $K_s$  with

$$d = \Omega(n^{1-\frac{1}{2s-3}})$$
 and  $\lambda = O(\sqrt{d}).$ 

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ ○ ○ ○

## Pseudorandom Ramsey Graphs

#### Theorem (M-Verstraëte 2019)

Let d, n, N be positive integers and  $n = \lceil 2N(\log N)^2/d \rceil$ . If there exists an *F*-free  $(N, d, \lambda)$ -graph and *N* is large enough, then

$$r(F, n) = \Omega\left(\frac{N}{\lambda}(\log N)^2\right).$$

#### Corollary (M-Verstraëte 2019)

If  $K_s$ -free  $(N, d, \lambda)$ -graphs exist with  $d = \Omega(N^{1-\frac{1}{2s-3}})$  and  $\lambda = O(\sqrt{d})$ , then as  $n \to \infty$ ,

$$r(s,n) = \Omega\left(\frac{n^{s-1}}{(\log n)^{2s-4}}\right).$$

## Hypergraphs - diagonal case

Definition (tower function)

$$\operatorname{twr}_1(x) = x$$
 and  $\operatorname{twr}_{i+1}(x) = 2^{\operatorname{twr}_i(x)}$ .

#### Theorem (Erdős-Hajnal-Rado 1952/1965)

$$2^{cn^2} < r_3(n,n) < 2^{2^n}$$

For fixed  $k \geq 3$ ,

$$\operatorname{twr}_{k-1}(cn^2) < r_k(n,n) < \operatorname{twr}_k(c'n)$$

#### Conjecture (Erdős \$500)

$$r_3(n,n)>2^{2^{cn}}$$

## Hypergraphs - The off-diagonal conjecture

#### Conjecture (Erdős-Hajnal 1972)

For fixed  $s > k \ge 3$  we have  $r_k(s, n) > twr_{k-1}(cn)$ . In particular,

 $r_k(k+1,n) > \operatorname{twr}_{k-1}(cn).$ 

$$r_3(s,n) \geq r_3(4,n) > 2^{cn}$$

 $r_4(s,n) \ge r_4(5,n) > 2^{2^{cn}}$ 

 $r_5(s,n) \ge r_5(6,n) > 2^{2^{2^{cn}}}$ 

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

## Hypergraphs - The off-diagonal conjecture

#### Theorem (Erdős-Hajnal 1972)

## $r_3(4, n) > 2^{cn}$ . Consequently, the conjecture holds for k = 3.

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

#### Theorem (Erdős-Hajnal 1972)

 $r_3(4, n) > 2^{cn}$ . Consequently, the conjecture holds for k = 3.

**Proof.** Let T be a random graph tournament on N vertices and form a 3-uniform hypergraph H by making each cyclically oriented triangle a hyperedge. Then

- there is no  $K_4^{(3)}$  in H (even no  $K_4^{3-}$ ), and yet
- the independence number of H is  $n = O(\log N)$ .

#### Theorem (M-Suk 2017, Conlon-Fox-Sudakov unpublished)

The off-diagonal conjecture holds for all  $s \ge k + 3$ :

 $r_k(k+3,n) > \operatorname{twr}_{k-1}(cn).$ 

The open cases are  $r_4(5, n)$  and  $r_4(6, n)$  and their k-uniform counterparts.

## $r_4(5, n)$ and $r_4(6, n)$

Lower bounds for  $r_4(5, n)$ :

- 2<sup>cn</sup> (implicit in Erdős-Hajnal 1972)
- 2<sup>cn<sup>2</sup></sup> (M-Suk 2017)
- 2<sup>n<sup>c log log n</sup></sup> (M-Suk 2018)
- 2<sup>n<sup>c log n</sup></sup> (M-Suk 2018)

Lower bounds for  $r_4(6, n)$ :

• 2<sup>cn</sup> (implicit in Erdős-Hajnal 1972)

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

- 2<sup>n<sup>c log n</sup></sup> (M-Suk 2017)
- 2<sup>2<sup>cn<sup>1/5</sup></sup> (M-Suk 2018)</sup>

## The off-diagonal conjecture - almost solved

## Theorem (M-Suk 2018) $r_4(6, n) > 2^{2^{cn^{1/5}}}$ $r_4(5,n) > 2^{n^{c \log n}}$ and for fixed k > 4 $r_k(k+1,n) > \operatorname{twr}_{k-2}(n^{c \log n})$ $r_k(k+2,n) > \operatorname{twr}_{k-1}(cn^{1/5})$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

## The Erdős-Hajnal Hypergraph Ramsey Problem

#### Definition (Erdős-Hajnal 1972)

For  $1 \le t \le {s \choose k}$ , let  $r_k(s, t; n)$  be the minimum N such that every red/blue coloring of the k-sets of [N] results in an s-set that contains at least t red k-subsets or an n-set all of whose k-subsets are blue (i.e., a blue  $K_n^k$ ).

#### Example

$$r_k\left(s,\binom{s}{k};n\right)=r_k(s,n)$$

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ □臣 = のへで

#### Problem (Erdős-Hajnal 1972)

As t grows from 1 to  $\binom{s}{k}$ , there is a well-defined value  $t_1 = h_1^{(k)}(s)$ at which  $r_k(s, t_1 - 1; n)$  is polynomial in n while  $r_k(s, t_1; n)$  is exponential in a power of n, another well-defined value  $t_2 = h_2^{(k)}(s)$  at which it changes from exponential to double exponential in a power of n and so on, and finally a well-defined value  $t_{k-2} = h_{k-2}^{(k)}(s) < \binom{s}{k}$  at which it changes from  $\operatorname{twr}_{k-2}$  to  $\operatorname{twr}_{k-1}$  in a power of n.

## A Recursive Definition

#### Definition

Let  $g_k(s) = 0$  for s < k,  $g_k(k) = 1$ , and for s > k, let  $g_k(s)$  be the maximum of

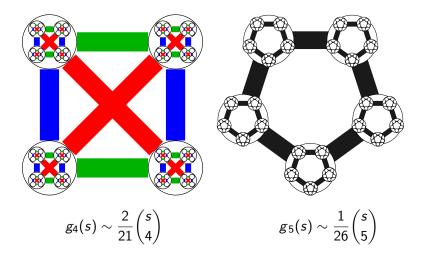
$$\sum_{i=1}^k g_k(s_i) + \prod_{i=1}^k s_i$$

where we maximize over all partitions  $s = s_1 + \cdots + s_k$  with  $s_i < s$  for all *i*.

$$g_k(s) = (1+o(1))rac{k!}{k^k-k} inom{s}{k} \qquad (k ext{ is fixed, } s o \infty).$$

|▲□▶ ▲□▶ ▲三▶ ▲三▶ | 三| のへの

## Recursion and Fractals<sup>1</sup>



<sup>1</sup>Thanks to Bernard Lidický for pictures!

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三 少々ぐ

$$h_1^{(k)}(s) \ge g_k(s) + 1$$
 ( $s \ge k \ge 3$ ).

In other words: every N-vertex k-uniform hypergraph H in which every s vertices span at most  $g_k(s) - 1$  edges has

$$\alpha(H) > N^{\epsilon}$$
  $(\epsilon = \epsilon(s, k) > 0).$ 

▲□▶ ▲□▶ ▲三▶ ▲三▶ - 三 - のへで

Conjecture (Erdős-Hajnal 1972 \$500)
$$h_1^{(k)}(s) = g_k(s) + 1 \qquad (s \ge k \ge 3).$$

In other words: there exists C = C(k) > 0 and, for all N > k, an *N*-vertex *k*-uniform hypergraph *H* in which every *s* vertices span at most  $g_k(s)$  edges and

 $\alpha(H) \leq C \log N.$ 

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

k = 3, s = 4

Theorem (Phelps-Rödl 1986)

$$r_3(4, 2; n) < cn^2 / \log n$$

Theorem (Erdős-Hajnal 1972)

 $r_3(4,3;n) > 2^{c'n}$ 

$$h_1^{(3)}(4) = 3 = g_3(4) + 1$$

◆□▶ ◆□▶ ◆目▶ ◆目▶ ▲□ ◆ ��や

Theorem (Conlon-Fox-Sudakov 2010)

 $h_1^{(3)}(s) = g_3(s) + 1$  for many s values including powers of 3; also $h_1^{(3)}(s) = rac{1}{4}inom{s}{3} + O(s\log s).$ 

Proof Idea: T(s) is the maximum number of directed triangles in all *s*-vertex tournaments. Then, if *s* is a power of 3,

$$h_1^{(3)}(s) - 1 \le T(s) = rac{1}{4} inom{s+1}{3} = g_3(s).$$

Lucky: the maximizers for T(s) are out regular tournaments, and the "recursive" tournament is just one example.

・ロト・西ト・田・王・ 日・

## Polynomial to Exponential Transition

Theorem (M-Razborov 2019)
---------------------------

$$h_1^{(k)}(s) = g_k(s) + 1$$
 ( $s \ge k \ge 4$ ).

i.e., there exists C = C(k) > 0 and, for all N > k, an N-vertex k-uniform hypergraph H in which every s vertices span at most  $g_k(s)$  edges and

 $\alpha(H) \leq C \log N.$ 

Main Hurdle: The recursive definition of  $g_k(s)$  – seems impossible to avoid it!!

## Inducibility

#### Definition

Given a k-vertex graph R, the inducibility i(R) is

$$i(R) \stackrel{\mathrm{def}}{=} \lim_{s \to \infty} \max_{|V(H)|=s} \frac{i(R;H)}{{s \choose k}},$$

where i(R; H) is the number of induced copies of R in an s-vertex graph H.

## Golumbic-Pippenger

#### Conjecture (Golumbic-Pippenger 1975)

$$i(C_k)=rac{k!}{k^k-k}$$
  $(k\geq 5).$ 

▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへで

## Golumbic-Pippenger

#### Conjecture (Golumbic-Pippenger 1975)

$$i(C_k)=rac{k!}{k^k-k}$$
  $(k\geq 5).$ 

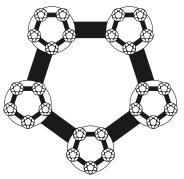
# Theorem (Kral-Norin-Volec 2018) $i(C_k) \leq \frac{2k!}{k^k}$ $(k \geq 5).$

▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ = 悪 = のへで

## Golumbic-Pippenger

Theorem (Balogh-Hu-Lidický-Pfender 2016)

$$i(C_5) = \frac{1}{26} \qquad \left( = \frac{5!}{5^5 - 5} \right).$$

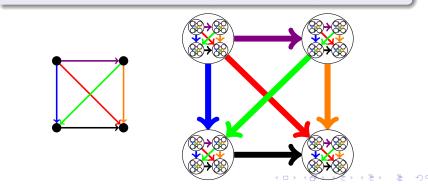


## **Rich Structures**

### Theorem (M-Razborov 2019)

Let  $s \ge k \ge 4$ , R be a k-vertex rainbow tournament. For any s-vertex tournament H with edges colored by the same  $\binom{k}{2}$  colors,

$$i(R; H) \leq g_k(s) \qquad \left(\Longrightarrow i(R) = \frac{k!}{k^k - k}\right).$$



## Proof of Erdős-Hajnal conjecture

Conjecture (Erdős-Hajnal 1972)

$$h_1^{(k)}(s) = g_k(s) + 1$$
 ( $s \ge k \ge 4$ ).

▲□▶ ▲□▶ ▲三▶ ▲三▶ - 三 - のへで

I.e. there exists C = C(k) > 0 and, for all N > k, an N-vertex k-uniform hypergraph H in which every s vertices span at most  $g_k(s)$  edges and  $\alpha(H) \leq C \log N$ .

## Proof of Erdős-Hajnal conjecture

Conjecture (Erdős-Hajnal 1972)

$$h_1^{(k)}(s) = g_k(s) + 1$$
 ( $s \ge k \ge 4$ ).

*I.e.* there exists C = C(k) > 0 and, for all N > k, an N-vertex k-uniform hypergraph H in which every s vertices span at most  $g_k(s)$  edges and  $\alpha(H) \leq C \log N$ .

#### Proof.

Fix a k-vertex rainbow tournament R. Randomly  $\binom{k}{2}$ -color and orient  $K_N$  (with the same colors from R). Form a k-uniform hypergraph H comprising copies of R. Then

- Every s vertices have at most  $g_k(s)$  (hyper)edges
- With positive probability  $\alpha(H) = O(\log N)$ .

## Intuition

#### Question

Why might it be easier to prove inducibility results for rainbow/directed structures R than for usual graphs?

- Because of the lack of symmetries
- Research on inducibility is/was hampered by the fact that a vertex can play different roles in a copy of R. E.g. if  $R = C_k$
- Previous results of inducibility of random graphs (Yuster, Fox-Huang-Lee) required trivial automorphism group and in fact even stronger "asymmetry" properties
- The rainbow tournament has the (strongest possible) asymmetry properties "for free". E.g. specifying a colored oriented edge identifies its endpoints

## Thank You!!!

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @