Numerical range and dilation

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- $\bullet~$ Alternatively, there is $X:H\rightarrow K$ such that

$$X^*X = I_H$$
 and $X^*AX = T$.

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A better question

Identify "good" matrices or operators A such that $W(T) \subseteq W(A)$ ensures that $T \in B(H)$ has a dilation of the form $I \otimes A$.

Theorem [Ando, 1973; Arveson, 1972]

Let
$$A = \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix}$$

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Theorem [Mirman, 1968]

Let A be a normal matrix with eigenvalues a_1, a_2, a_3 . Then $T \in B(H)$ satisfies

$$W(T) \subseteq W(A) = \operatorname{conv} \{a_1, a_2, a_3\}$$

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Let $A \in M_2$ so that W(A) is the elliptical disk with the eigenvalues a_1, a_2 as foci and minor axis of length $\sqrt{\operatorname{tr} A^*A - |a_1|^2 - |a_2|^2}$.

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Theorem [Choi & Li, 2001]

Let $A\in M_3$ have a reducing eigenvalue so that A is unitarily similar to $[\alpha]\oplus A_1$ with $A_1\in M_2$,

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But $||T|| = \sqrt{2} > 1 = ||A||$ so that T has no dilation of the form $I \otimes A$.

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The proof of [Choi & Li, 2001] depends on the following result and the duality techniques in completely positive linear maps.

Theorem Suppose $T \in B(H)$ is a contraction with $W(T) \subseteq S = \{\mu : |\mu| \le 1, \mu + \overline{\mu} \le r\}.$ Then T has a unitary $A \in B(H \oplus H)$ with $W(A) \subseteq S.$

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Corollary

Let $T \in B(H)$ be a contraction. Then

 $\overline{W(T)} = \cap \{ \overline{W(U)} : U \in B(H \oplus H) \text{ is a unitary dilation of } T \}.$

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• Let $T_1, \ldots, T_k \in B(H)$ be self-adjoint operators. Define their joint numerical range by

 $W(T_1,\ldots,T_k) = \{((T_1x,x)\ldots,(T_kx,x)) : x \in H, (x,x) = 1\}.$

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$$v_1 = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}, v_2 = \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}, v_3 = \begin{pmatrix} c_1 \\ c_2 \\ c_3 \end{pmatrix}, v_4 = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \end{pmatrix}.$$

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$$D_j = I \otimes \operatorname{diag}(a_j, b_j, c_j, d_j)$$
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• Note that one can choose any $v_1, v_2, v_3, v_4 \in \mathbb{R}^3$ as long as

 $W(T_1, T_2, T_3) \subseteq \operatorname{conv} \{v_1, v_2, v_3, v_4\}.$

Theorem [Binding, Farenick, Li, 1995]

Let $T_1, \ldots, T_m \in B(H)$ be self-adjoint such that $W(T_1, \ldots, T_m)$ has

non-empty interior in \mathbb{R}^m .

Theorem [Binding, Farenick, Li, 1995]

Let $T_1, \ldots, T_m \in B(H)$ be self-adjoint such that $W(T_1, \ldots, T_m)$ has non-empty interior in \mathbb{R}^m . That is, $\{I, T_1, \ldots, T_m\}$ is linearly independent.

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Suppose $S\subseteq \mathbb{R}^m$ is a simplex with vertices

$$v_1 = \begin{pmatrix} v_{11} \\ \vdots \\ v_{1m} \end{pmatrix}, \cdots, v_{m+1} = \begin{pmatrix} v_{m+1,1} \\ \vdots \\ v_{m+1,m} \end{pmatrix} \in \mathbb{R}^m.$$

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Then $W(T_1,\ldots,T_m)\subseteq S$ if and only if T_1,\ldots,T_m has a joint dilation to the diagonal operators

$$I_N \otimes A_j$$
 with $A_j = \begin{pmatrix} v_{1j} & & \\ & \ddots & \\ & & v_{m+1,j} \end{pmatrix} \in M_{m+1}, \quad j = 1, \dots, m.$

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Then $W(T_1,\ldots,T_m)\subseteq S$ if and only if T_1,\ldots,T_m has a joint dilation to the diagonal operators

$$I_N \otimes A_j$$
 with $A_j = \begin{pmatrix} v_{1j} & & \\ & \ddots & \\ & & v_{m+1,j} \end{pmatrix} \in M_{m+1}, \quad j = 1, \dots, m.$

That is, there is a unitary \boldsymbol{U} such that

$$U^*(I_N \otimes A_j)U = \begin{pmatrix} T_j & * \\ * & * \end{pmatrix}, \quad j = 1, \dots, m.$$

Let $T_1, \ldots, T_m \in B(H)$ and $A_1, \ldots, A_m \in M_n$ be self-adjoint operators.

Chi-Kwong Li, College of William & Mary Numerical range and dilation

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Let $T_1,\ldots,T_m\in B(H)$ and $A_1,\ldots,A_m\in M_n$ be self-adjoint operators. Consider the map

$$\phi(\mu_0 I + \mu_1 A_1 + \dots + \mu_m A_m) = \mu_0 I + \mu_1 T_1 + \dots + \mu_m T_m$$

on span $\{I, A_1, \ldots, A_m\}$.

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• Mathematically, quantum states are density matrices in M_n , i.e., positive semidefinite matrices with trace one.

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- (Choi, 1975) Quantum channels/operations are trace preserving completely positive linear maps $\Phi: M_n \to M_m$ admitting the operator sum representation

$$\Phi(X) = \sum_{j=1}^{r} F_j X F_j^* \text{ for some } F_1, \dots, F_r \text{ satisfying } \sum_{j=1}^{r} F_j^* F_j = I_n.$$

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- Our study is related to the study of quantum channels, whose dual map has some special properties.
- It also allow us to develop techniques in construction unital completely positive maps with some desired properties.

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- Suppose $A \in M_3$ has no reducing eigenvalues, and if A is not unitarily similar to A^t . Then for $T = A^t$ we have

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• This is in contrast to the fact that a positive map from M_3 to M_2 is always co-positive.

• Can we use the dilation result on $A \in M_3$ with reducing eigenvalue to deduce the constrained unitary dilation result?

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• Just need to check
$$A = \begin{pmatrix} 0 & 0 & a \\ 1 & 0 & 0 \\ 0 & -a & z \end{pmatrix}$$
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• Conjecture 2. If $A \in M_n$ satisfies (†), then $A = A_1 \oplus A_2$ such that $W(A) = W(A_1)$, where $A \in M_2$ or $A \in M_3$ with an reducing eigenvalue.

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Thank you for your attention! Hope that you will solve our problems!

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