

q -Virasoro algebra and affine Lie algebras

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I. Introduction

In vertex algebra theory, among important problems are to **develop the theory of vertex operator algebras and their representations**, classify irreducible (non-twisted and twisted) modules for certain VOAs, and establish C_2 -cofiniteness and rationality. Also, seek for interesting applications in other fields.

To these ends, various new tools, including generalizations of the Zhu algebra $A(V)$ and bimodules $A(W)$, were developed.

On the other hand, a conceptual problem is to **establish natural associations of various (Lie or associative) algebras with vertex algebras or their generalizations**.

In this direction, new theories, including that of **vertex Γ -algebras**, **quantum vertex algebras**, and **quasi modules** and **ϕ -coordinated modules** for quantum vertex algebras, have been developed. This talk will be along this line.

An overlook (Summary):

The key idea is to investigate possible algebraic structures “generated” by vertex operators = field operators = current operators on a vector space W .

Two main factors for vertex operators:

I) Shape: Formal integer power series in one variable

$$a(x) \in \text{Hom}(W, W((x))) =: \mathcal{E}(W).$$

II) Compatibility (among vertex operators):

i) Locality: $a(x)$ and $b(x)$ are local if there exists $k \geq 0$ such that

$$(x - z)^k a(x)b(z) = (x - z)^k b(z)a(x).$$

This leads to vertex algebras and modules.

ii) **Quasi locality**: $a(x)$ and $b(x)$ are **quasi local** if there exists a nonzero polynomial $p(x, z)$ such that

$$p(x, z)a(x)b(z) = p(x, z)b(z)a(x).$$

This leads to **vertex algebras** and **quasi modules**.

iii) **S-locality**: A subset U of $\mathcal{E}(W)$ is **S-local** if for any $a(x), b(x) \in U$, there exist

$$c_i(x), d_i(x) \in U, f_i(x) \in \mathbb{C}((x)), i = 1, \dots, r$$

and a nonnegative integer k such that

$$(x - z)^k a(x)b(z) = (x - z)^k \sum_{i=1}^r f_i(z - x)c_i(z)d_i(x).$$

This leads to **quantum vertex algebras** and **modules**.

iv) Quasi S -locality, which leads to quantum vertex algebras and quasi modules.

v) S_{trig} -locality: A subset U of $\mathcal{E}(W)$ is S_{trig} -local if for any $a(x), b(x) \in U$, there exist

$$c_i(x), d_i(x) \in U, \quad g_i(x) \in \mathbb{C}(x), \quad i = 1, \dots, r$$

and a nonnegative integer k such that

$$(x - z)^k a(x)b(z) = (x - z)^k \sum_{i=1}^r g_i(x/z) c_i(z) d_i(x).$$

This leads to quantum vertex algebras and ϕ -coordinated modules.

vi) Quasi S_{trig} -locality, which leads to quantum vertex algebras and quasi ϕ -coordinated modules.

Note: Local twisted vertex operators, which are fraction power series, lead to **vertex algebras** and **twisted modules**.

This talk is mainly on **quasi locality and quasi modules for vertex algebras**, where we use q -Virasoro algebra as a concrete example to illustrate this theory.

II. Modules for vertex algebras and locality

Let V be a vertex algebra. A **V -module** is a vector space W with a linear map

$$Y_W(\cdot, x) : V \rightarrow (\text{End}W)[[x, x^{-1}]]$$

such that $Y_W(\mathbf{1}, x) = 1_W$, and for $v \in V$,

$$Y_W(v, x)w \in W((x)) \quad \text{for } w \in W,$$

and **Jacobi identity** holds for $u, v \in V$:

$$\begin{aligned} & x_0^{-1} \delta \left(\frac{x_1 - x_2}{x_0} \right) Y_W(u, x_1) Y_W(v, x_2) \\ & \quad - x_0^{-1} \delta \left(\frac{x_2 - x_1}{-x_0} \right) Y_W(v, x_2) Y_W(u, x_1) \\ = & x_2^{-1} \delta \left(\frac{x_1 - x_0}{x_2} \right) Y_W(Y(u, x_0)v, x_2). \end{aligned}$$

Jacobi identity is equivalent to **Locality**: For $u, v \in V$, there exists $k \geq 0$ such that

$$(x - z)^k Y_W(u, x) Y_W(v, z) = (x - z)^k Y_W(v, z) Y_W(u, x)$$

and **Weak associativity**: For $u, v, w \in V$, there exists $l \geq 0$ such that

$$(x + z)^l Y_W(u, x + z) Y_W(v, z) w = (x + z)^l Y_W(Y(u, z)v, x) w.$$

In fact, for vertex algebra modules, weak associativity alone is sufficient.

Let W be a vector space. Set

$$\mathcal{E}(W) = \text{Hom}(W, W((x))).$$

Locality: A subset S of $\mathcal{E}(W)$ is said to be **local** if for any $A(x), B(x) \in S$, there exists a nonnegative integer k such that

$$(x_1 - x_2)^k A(x_1)B(x_2) = (x_1 - x_2)^k B(x_2)A(x_1).$$

Operations on $\mathcal{E}(W)$: For $A(x), B(x) \in \mathcal{E}(W)$ and for $n \in \mathbb{Z}$, we define $A(x)_n B(x) \in \mathcal{E}(W)$ by

$$\begin{aligned} & (A(x)_n B(x))w \\ = & \operatorname{Res}_{x_1} (x_1 - x)^n A(x_1) B(x)w - (-x + x_1)^n B(x) A(x_1)w \end{aligned}$$

for $w \in W$.

Theorem (L)

Let S be a local subset of $\mathcal{E}(W)$. Denote by $\langle S \rangle$ the linear span of

$$A^{(1)}(x)_{n_1} \cdots A^{(r)}(x)_{n_r} 1_W$$

for $A^{(i)}(x) \in S$, $n_i \in \mathbb{Z}$. Then $\langle S \rangle$ is a vertex algebra and W is an $\langle S \rangle$ -module.

III. Quasi modules for vertex algebras and quasi locality

Let W be a vector space. Recall $\mathcal{E}(W) = \text{Hom}(W, W((x)))$

A subset U of $\mathcal{E}(W)$ is **quasi-local** if for any $a(x), b(x) \in U$, there exists a nonzero polynomial $p(x, y)$ such that

$$p(x_1, x_2)a(x_1)b(x_2) = p(x_1, x_2)b(x_2)a(x_1).$$

Note that this equality implies

$$p(x_1, x_2)a(x_1)b(x_2) \in \text{Hom}(W, W((x_1, x_2))).$$

Adjoint vertex operator map $Y_{\mathcal{E}}$ on $\mathcal{E}(W)$

Let $a(x), b(x) \in \mathcal{E}(W)$. Assume that there exists a nonzero polynomial $p(x, z)$ such that

$$p(x_1, x_2)a(x_1)b(x_2) \in \text{Hom}(W, W((x_1, x_2))).$$

Define $a(x)_n b(x) \in \mathcal{E}(W)$ for $n \in \mathbb{Z}$ in terms of

$$Y_{\mathcal{E}}(a(x), z)b(x) = \sum_{n \in \mathbb{Z}} a(x)_n b(x) z^{-n-1}$$

by

$$Y_{\mathcal{E}}(a(x), z)b(x) = p(x+z, x)^{-1} (p(x_1, x)a(x_1)b(x)) \Big|_{x_1=x+z},$$

where $p(x+z, x)^{-1}$ denotes the inverse in $\mathbb{C}((x))((z))$.

Note: The essence of this definition is **OPE**.

Theorem (L)

Every quasi local subset of $\mathcal{E}(W)$ generates a vertex algebra with W a faithful quasi module in the following sense.

Let V be a vertex algebra. A **quasi V -module** is defined simply by replacing the **Jacobi identity** in the definition of a module with a **weaker identity**: For any $u, v \in V$, there exists a nonzero polynomial $p(x, z)$ such that the **Jacobi identity after multiplied by $p(x_1, x_2)$** holds.

Note: Often, to better describe the vertex algebras generated by quasi local subsets one needs to consider **$a(\lambda x)$** with $\lambda \in \mathbb{C}^\times$. Consequently, the vertex algebras we obtain naturally come with a **group action**.

Vertex Γ -algebras and equivariant quasi modules

Let Γ be a group equipped with a linear character χ . A **vertex Γ -algebra** is a vertex algebra V on which Γ acts such that $R_g(\mathbf{1}) = \mathbf{1}$,

$$R_g Y(v, x) R_g^{-1} = Y(R_g v, \chi(g)^{-1} x) \quad \text{for } g \in \Gamma.$$

Let V be a vertex Γ -algebra. A **(Γ, χ) -equivariant quasi V -module** is a quasi V -module (W, Y_W) , satisfying

$$Y_W(R_g v, x) = Y_W(v, \chi(g)x) \quad \text{for } g \in \Gamma, v \in V$$

and for any $u, v \in V$, there exists a polynomial

$$p(z) \in \langle z - \chi(g) \mid g \in \Gamma \rangle$$

such that the Jacobi identity multiplied by $p(x_1/x_2)$ holds.

Two ways to get vertex Γ -algebras

Let Γ be a subgroup of \mathbb{C}^\times . A subset U of $\mathcal{E}(W)$ is Γ -local if for any $a(x), b(x) \in U$, there is a polynomial $p(z)$ of the form $(z - \alpha_1) \cdots (z - \alpha_r)$ with $\alpha_i \in \Gamma$ such that

$$p(x/z)a(x)b(z) = p(x/z)b(z)a(x).$$

Set

$$U_\Gamma = \{a(\lambda x) \mid a(x) \in U, \lambda \in \Gamma\},$$

which is also Γ -local.

Theorem (L)

For every Γ -local subset U of $\mathcal{E}(W)$, the vertex algebra $\langle U_\Gamma \rangle$ generated by U_Γ is a vertex Γ -algebra with W a faithful equivariant quasi module.

A \mathbb{Z} -graded vertex algebra is a vertex algebra V equipped with a \mathbb{Z} -grading $V = \bigoplus_{n \in \mathbb{Z}} V_{(n)}$ such that

$$u_k V_{(n)} \subset V_{(m+n-k-1)}$$

for $u \in V_{(m)}$, $m, n, k \in \mathbb{Z}$.

Let Γ be an automorphism group of V , preserving the \mathbb{Z} -grading, and let χ be any linear character. For $g \in \Gamma$, set

$$R_g = \chi(g)^{-L(0)} g,$$

where $L(0)$ is the linear operator on V , defined by $L(0)|_{V_{(n)}} = n$ for $n \in \mathbb{Z}$. Then V becomes a vertex Γ -algebra.

Equivariant quasi modules and twisted modules

Let V be a VOA with an automorphism σ of order T . Set $G = \langle \sigma \rangle$ and let χ be the linear character given by $\chi(\sigma) = e^{2\pi i/T}$. Then V becomes a vertex G -algebra.

Theorem (L)

The category of σ -twisted V -modules is canonically isomorphic to the category of equivariant quasi V -modules.

The essence of the proof is **change-of-coordinate**: $z \rightarrow z^T$. A result of Barron-Dong-Mason was used in an essential way.

Note: In view of this, **the notion of equivariant quasi module** generalizes **that of twisted module**.

Let K be a Lie algebra and let G be an automorphism group.
Then one has an (orbifold) Lie subalgebra

$$K^G = \{a \in K \mid g(a) = a \text{ for } g \in G\}.$$

Fact: Twisted affine Kac-Moody algebras can be realized as orbifold subalgebras of untwisted affine Kac-Moody algebras with respect to dynkin diagram automorphisms.

The following is another way to associate a Lie algebra to a pair (K, G) as above (cf. [L]).

Lemma

Let K be a Lie algebra with a symmetric invariant bilinear form $\langle \cdot, \cdot \rangle$ and let G be an automorphism group of K , preserving $\langle \cdot, \cdot \rangle$, such that for any $a, b \in K$,

$$[ga, b] = 0 \quad \text{and} \quad \langle ga, b \rangle = 0 \quad \text{for all but finitely many } g \in G.$$

Define a new operation $[\cdot, \cdot]_G$ and a new form $\langle \cdot, \cdot \rangle_G$ on K by

$$[a, b]_G = \sum_{g \in G} [ga, b] \quad \text{and} \quad \langle a, b \rangle_G = \sum_{g \in G} \langle ga, b \rangle.$$

Set

$$I_G = \text{span}\{a - ga \mid a \in K, g \in G\}.$$

Then I_G is an ideal of the non-associative algebra $(K, [\cdot, \cdot]_G)$ and the quotient algebra K/I_G is a Lie algebra. Furthermore, $\langle \cdot, \cdot \rangle_G$ reduces to a symmetric invariant bilinear form on K/I_G .

The Lie algebra obtained above is alternatively denoted by K/G and called the G -covariant algebra of K .

Fact: If G is finite, then K/G is isomorphic to K^G .

Let \mathfrak{g} be a (possibly infinite-dimensional) Lie algebra with a symmetric invariant bilinear form $\langle \cdot, \cdot \rangle$ and let G an automorphism group of $(\mathfrak{g}, \langle \cdot, \cdot \rangle)$ such that for any $a, b \in \mathfrak{g}$,

$$[\sigma a, b] = 0 \quad \text{and} \quad \langle \sigma a, b \rangle = 0 \quad \text{for all but finitely many } \sigma \in G.$$

Use χ to lift G to an automorphism group of $\hat{\mathfrak{g}}$. Let $V_{\hat{\mathfrak{g}}}(\ell, 0)$ be the universal affine vertex algebra associated to $\hat{\mathfrak{g}}$ of level ℓ .

Theorem (L)

Let χ be a faithful linear character of G . There is a canonical isomorphism from the category of restricted $\hat{\mathfrak{g}}/G$ -modules of level ℓ to that of (G, χ) -equivariant quasi $V_{\hat{\mathfrak{g}}}(\ell, 0)$ -modules.

IV. The q -Virasoro algebra

Based on a joint work with H. Guo, S. Tan, Qing Wang.

The q -Virasoro algebra D , introduced by Belov and Chaltikian, is the Lie algebra with generators \mathbf{c} and $D^\alpha(n)$ with $\alpha, n \in \mathbb{Z}$, subject to relations $D^{-\alpha}(n) = -D^\alpha(n)$ and

$$\begin{aligned} [D^\alpha(n), D^\beta(m)] &= (q - q^{-1})[\alpha m - \beta n]_q D^{\alpha+\beta}(m+n) \\ &\quad - (q - q^{-1})[\alpha m + \beta n]_q D^{\alpha-\beta}(m+n) \\ &\quad + ([m]_{q^{\alpha+\beta}} - [m]_{q^{\alpha-\beta}}) \delta_{m+n,0} \mathbf{c} \end{aligned}$$

for $\alpha, \beta, m, n \in \mathbb{Z}$, where \mathbf{c} is a central element, q is a nonzero complex parameter.

Here, we define a Lie algebra D_S associated to an abelian group S with a faithful linear character χ by replacing q^α with $\chi(\alpha)$ in the structural coefficients.

For each $\alpha \in S$, we first form a generating function

$$D^\alpha(x) = \sum_{n \in \mathbb{Z}} D^\alpha(n) x^{-n-1}.$$

However, the commutator formula for $D^\alpha(x)$ with $\alpha \in S$ is not **closed** to a certain sense. For this reason, we modify the generating functions as follows:

$$\tilde{D}^\alpha(x) = \begin{cases} D^\alpha(x) & \text{if } 2\alpha = 0 \\ D^\alpha(x) - \frac{1}{\chi(-\alpha) - \chi(\alpha)} \mathbf{c} x^{-1} & \text{if } 2\alpha \neq 0. \end{cases}$$

The **defining relations** of D_S are equivalent to

$$\tilde{D}^{-\alpha}(x) = -\tilde{D}^{\alpha}(x),$$

$$\begin{aligned} & [\tilde{D}^{\alpha}(x_1), \tilde{D}^{\beta}(x_2)] \\ = & \chi(-\alpha)\tilde{D}^{\alpha+\beta}(\chi(-\alpha)x_2)x_1^{-1}\delta\left(\frac{\chi(-\alpha-\beta)x_2}{x_1}\right) \\ & -\chi(\alpha)\tilde{D}^{\alpha+\beta}(\chi(\alpha)x_2)x_1^{-1}\delta\left(\frac{\chi(\alpha+\beta)x_2}{x_1}\right) \\ & -\chi(-\alpha)\tilde{D}^{\alpha-\beta}(\chi(-\alpha)x_2)x_1^{-1}\delta\left(\frac{\chi(\beta-\alpha)x_2}{x_1}\right) \\ & +\chi(\alpha)\tilde{D}^{\alpha-\beta}(\chi(\alpha)x_2)x_1^{-1}\delta\left(\frac{\chi(\alpha-\beta)x_2}{x_1}\right) \\ & -\chi(\alpha-\beta)\delta_{2(\alpha-\beta),0}\frac{\partial}{\partial x_2}x_1^{-1}\delta\left(\frac{\chi(\alpha-\beta)x_2}{x_1}\right) \mathbf{c} \\ & +\chi(\alpha+\beta)\delta_{2(\alpha+\beta),0}\frac{\partial}{\partial x_2}x_1^{-1}\delta\left(\frac{\chi(\alpha+\beta)x_2}{x_1}\right) \mathbf{c}. \end{aligned}$$

For $\alpha, \beta \in \mathbb{Z}$, we have

$$p(x_1, x_2)[\tilde{D}^\alpha(x_1), \tilde{D}^\beta(x_2)] = 0$$

with

$$p(x_1, x_2) = (x_1 - q^{\alpha+\beta}x_2)(x_1 - q^{-\alpha-\beta}x_2)(x_1 - q^{\alpha-\beta}x_2)(x_1 - q^{\beta-\alpha}x_2).$$

They are **quasi local**, though **not local**.

These generating functions on a **restricted D_S -module W** generate a **vertex Γ -algebra** with **W** as an **equivariant quasi module**.

This indicates that **D_S** should be a “**twisted Lie algebra.**” Next, we shall use the general machinery to obtain its “**untwisted partner,**” by examining the vertex Γ -algebra.

Define a new Lie algebra \mathfrak{g}_S generated by $d^{\alpha,r}$ for $\alpha \in S$, $r \in \mathbb{Z}$, subject to relations: $d^{-\alpha,r} = -d^{\alpha,r}$ and

$$[d^{\alpha,r}, d^{\beta,s}] = \delta_{\alpha+\beta, s-r} d^{\alpha+\beta, -\alpha+s} - \delta_{\alpha+\beta, r-s} d^{\alpha+\beta, \alpha+s} \\ - \delta_{\alpha-\beta, s-r} d^{\alpha-\beta, -\alpha+s} + \delta_{\alpha-\beta, r-s} d^{\alpha-\beta, \alpha+s}.$$

On \mathfrak{g}_S , there is a non-degenerate symmetric invariant bilinear form $\langle \cdot, \cdot \rangle_\chi$ defined by

$$\langle d^{\alpha,\beta}, d^{\mu,\nu} \rangle_\chi \\ = \chi(\alpha + \mu) \delta_{2(\alpha+\mu), 0} \delta_{\alpha+\mu, \beta-\nu} - \chi(\alpha - \mu) \delta_{2(\alpha-\mu), 0} \delta_{\alpha-\mu, \beta-\nu}$$

for $\alpha, \beta, \mu, \nu \in S$.

Then we have an affine Lie algebra $\widehat{\mathfrak{g}}_S = \mathfrak{g}_S \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}\mathbf{c}$.
Furthermore, for any complex number ℓ , we have a \mathbb{Z} -graded
vertex algebra $V_{\widehat{\mathfrak{g}}_S}(\ell, 0)$, whose underlying vector space is the level
 ℓ generalized Verma module (or Weyl module) of $\widehat{\mathfrak{g}}_S$.

We can lift S to be an automorphism group of affine Lie algebra
 $\widehat{\mathfrak{g}}_S$ and the \mathbb{Z} -graded vertex algebra $V_{\widehat{\mathfrak{g}}_S}(\ell, 0)$. Then $V_{\widehat{\mathfrak{g}}_S}(\ell, 0)$
becomes a vertex S -algebra.

We have the following results ([Guo-L-Tan-Wang]):

Theorem

The linear map $\pi : \widehat{\mathfrak{g}}_S \rightarrow D_S$, defined by

$$\pi(d^{\alpha,\beta}(x)) = D^{\alpha,\beta}(x) = \chi(\beta)\tilde{D}^\alpha(\chi(\beta)x) \quad \text{for } \alpha, \beta \in S,$$

gives rise to a Lie algebra isomorphism from the covariant algebra $\widehat{\mathfrak{g}}_S/S$ of the affine Lie algebra $\widehat{\mathfrak{g}}_S$ to D_S .

Theorem

The category of restricted D_S -modules of level ℓ is naturally isomorphic to the category of equivariant quasi $V_{\widehat{\mathfrak{g}}_S}(\ell, 0)$ -modules.

Theorem

Assume that S is a finite abelian group of order $2l + 1$. Then D_S is isomorphic to the untwisted affine Kac-Moody algebra of type $B_l^{(1)}$.

Thank You