

Improving the Recent Results for the Vacuum Einstein Conformal Constraint Equations by Using the Half-Continuity Method

BIRS Workshop: Geometric Analysis and General Relativity

20 July 2016

Table of Contents :

Part 1. The Einstein Conformal Constraint Equations

- Introduction
- The Recent Results in the Viewpoint of Fixed Point Theorems.

Part 2. The Half-Continuity Method

- Foundation and Technique
- Application to the Conformal Equations

Part 1.

The Einstein conformal constraint equations

The conformal method

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

We specify on a given compact Riemannian manifold (M, g) of dimension $n \geq 3$: a mean curvature τ (a smooth function) and a transverse-traceless tensor σ (i.e. a symmetric, trace-free, divergence-free $(0, 2)$ -tensor).

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

We specify on a given compact Riemannian manifold (M, g) of dimension $n \geq 3$: a **mean curvature** τ (a smooth function) and a **transverse-traceless tensor** σ (i.e. a symmetric, trace-free, divergence-free $(0, 2)$ -tensor). Then we look for φ and W satisfying

$$\frac{4(n-1)}{n-2} \Delta \varphi + \text{Scal} \varphi = -\frac{n-1}{n} \tau^2 \varphi^{N-1} + |\sigma + LW|^2 \varphi^{-N-1} \quad (1a)$$

$$-\frac{1}{2} L^* LW = \frac{n-1}{n} \varphi^N d\tau. \quad (1b)$$

Here $N = 2n/(n-2)$ and L is the conformal Killing operator defined by

$$LW_{ij} = \nabla_i W_j + \nabla_j W_i - \frac{2}{n} \nabla^k W_k g_{ij}.$$

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

We specify on a given compact Riemannian manifold (M, g) of dimension $n \geq 3$: a **mean curvature** τ (a smooth function) and a **transverse-traceless tensor** σ (i.e. a symmetric, trace-free, divergence-free $(0, 2)$ -tensor). Then we look for φ and W satisfying

$$\frac{4(n-1)}{n-2} \Delta \varphi + \text{Scal} \varphi = -\frac{n-1}{n} \tau^2 \varphi^{N-1} + |\sigma + LW|^2 \varphi^{-N-1} \quad (1a)$$

$$-\frac{1}{2} L^* LW = \frac{n-1}{n} \varphi^N d\tau. \quad (1b)$$

Here $N = 2n/(n-2)$ and L is the conformal Killing operator defined by

$$LW_{ij} = \nabla_i W_j + \nabla_j W_i - \frac{2}{n} \nabla^k W_k g_{ij}.$$

These coupled equations are called the **conformal constraint equations**.

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

We specify on a given compact Riemannian manifold (M, g) of dimension $n \geq 3$: a **mean curvature** τ (a smooth function) and a **transverse-traceless tensor** σ (i.e. a symmetric, trace-free, divergence-free $(0, 2)$ -tensor). Then we look for φ and W satisfying

$$\frac{4(n-1)}{n-2} \Delta \varphi + \text{Scal} \varphi = -\frac{n-1}{n} \tau^2 \varphi^{N-1} + |\sigma + LW|^2 \varphi^{-N-1} \quad (1a)$$

$$-\frac{1}{2} L^* LW = \frac{n-1}{n} \varphi^N d\tau. \quad (1b)$$

Here $N = 2n/(n-2)$ and L is the conformal Killing operator defined by

$$LW_{ij} = \nabla_i W_j + \nabla_j W_i - \frac{2}{n} \nabla^k W_k g_{ij}.$$

These coupled equations are called the **conformal constraint equations**.

If (φ, W) is a solution to (1), $(M, \varphi^{N-2} g, \frac{\tau}{n} \varphi^{N-2} g + \varphi^{-2} (\sigma + LM))$ satisfies the Einstein constraint equations.

The conformal method

One of most efficient methods to find solutions to the Einstein constraint equations is the conformal method developed by Lichnerowicz [Lic44] and Choquet-Bruhat–York [CBY80].

We specify on a given compact Riemannian manifold (M, g) of dimension $n \geq 3$: a **mean curvature** τ (a smooth function) and a **transverse-traceless tensor** σ (i.e. a symmetric, trace-free, divergence-free $(0, 2)$ -tensor). Then we look for φ and W satisfying

$$\frac{4(n-1)}{n-2} \Delta \varphi + \text{Scal} \varphi = -\frac{n-1}{n} \tau^2 \varphi^{N-1} + |\sigma + LW|^2 \varphi^{-N-1} \quad (1a)$$


$$-\frac{1}{2} L^* LW = \frac{n-1}{n} \varphi^N d\tau. \quad (1b)$$

Here $N = 2n/(n-2)$ and L is the conformal Killing operator defined by

$$LW_{ij} = \nabla_i W_j + \nabla_j W_i - \frac{2}{n} \nabla^k W_k g_{ij}.$$

These coupled equations are called the **conformal constraint equations**.

If (φ, W) is a solution to (1), $(M, \varphi^{N-2} g, \frac{\tau}{n} \varphi^{N-2} g + \varphi^{-2} (\sigma + LM))$ satisfies the Einstein constraint equations.

Problem : The study of solutions to the conformal constraint equations (1) 

The study of the conformal constraint equations

The study of the conformal constraint equations

The complete achievements :

- ([Ise95]) The CMC case, i.e. when τ is constant,
- ([IM96]) The near-CMC case, i.e. when $d\tau/\tau$ is small.

The study of the conformal constraint equations

The complete achievements :

- ([Ise95]) The CMC case, i.e. when τ is constant,
- ([IM96]) The near-CMC case, i.e. when $d\tau/\tau$ is small.

The largely unanswered questions :

- Existence of solutions to the conformal constraint equations with freely specified mean curvature τ (*the far-from-CMC case*)
- Nonexistence or nonuniqueness of the solution(s).

The study of the conformal constraint equations

The complete achievements :

- ([Ise95]) The CMC case, i.e. when τ is constant,
- ([IM96]) The near-CMC case, i.e. when $d\tau/\tau$ is small.

The largely unanswered questions :

- Existence of solutions to the conformal constraint equations with freely specified mean curvature τ (*the far-from-CMC case*)
- Nonexistence or nonuniqueness of the solution(s).

We will summarize recent results on the next slide.

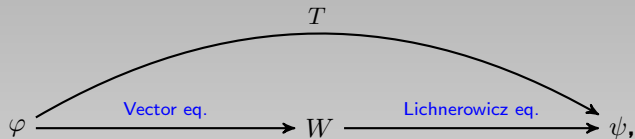
Construct a continuous compact mapping

Construct a continuous compact mapping

Let $T : C^0 \longrightarrow C^0$ be defined as follows

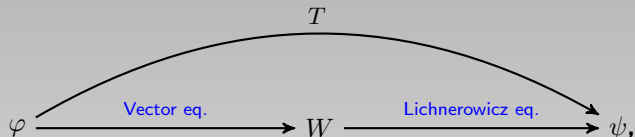
Construct a continuous compact mapping

Let $T : C^0 \rightarrow C^0$ be defined as follows



Construct a continuous compact mapping

Let $T : C^0 \longrightarrow C^0$ be defined as follows



where

$$\frac{4(n-1)}{n-2} \Delta \psi + \text{Scal} \psi = -\frac{n-1}{n} \tau^2 \psi^{N-1} + |\sigma + LW|^2 \psi^{-N-1} \quad [\text{Lichnerowicz Eq}]$$

$$-\frac{1}{2} L^* LW = \frac{n-1}{n} \varphi^N d\tau. \quad [\text{Vector Eq}]$$

Recent Results and Related Questions

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T ,

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.		

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign			

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.		

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* LV = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	
[Ngu] : There exists (g, τ, σ) such that			

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution		

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question :

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	Question
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM_α)	
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question : How to improve the smallness assumption on σ ?

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	Question
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau}$ (LM $_\alpha$)	
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question : How to improve the smallness assumption on σ ?

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	Question
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau} \quad (\text{LM}_\alpha)$	Is it still true for arbitrary τ ?
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	

Recent Results and Related Questions

- Applying *Schauder's fixed point theorem* to T , Holst-Nagy-Tsogtgerel in [HNT08] show that for a given (M, g) with the *positive Yamabe invariant*

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question : How to improve the smallness assumption on σ ?

- Applying *Schaefer's fixed point theorem* to T

	Case 1 : T has a fixed point.	Case 2 : $x_n = t_n T(t_n, x_n)$ and $\ x_n\ \rightarrow \infty$	Question
[DGH12] : If τ has constant sign	(1) has a solution.	$\exists \alpha \in (0, 1]$ and $V \neq 0$ s.t. $-\frac{1}{2} L^* L V = \alpha \sqrt{\frac{n-1}{n}} LV \frac{d\tau}{\tau} \quad (\text{LM}_\alpha)$	Is it still true for arbitrary τ ?
[Ngu] : There exists (g, τ, σ) such that	(1) has a solution	$\exists \{t_n\}$ converging to 0 s.t. (1) associated to $(g, t_n \tau, \sigma)$ has at least two solutions.	Is it still true for all t small enough ?

Part 2.

The half-continuity method

Idea

Idea

Recall that

Theorem 3.1 (Schaefer's fixed point theorem)

$T : [0, 1] \times X \longrightarrow X$ is a continuous compact mapping. At least one of the following assertions is true

- (i) $\exists x^* \in X : x^* = T(1, x^*),$
- (ii) There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and $\|x_n\| \rightarrow \infty.$

Idea

Recall that

Theorem 3.1 (Schaefer's fixed point theorem)

$T : [0, 1] \times X \longrightarrow X$ is a continuous compact mapping. At least one of the following assertions is true

- (i) $\exists x^* \in X : x^* = T(1, x^*),$
- (ii) There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and $\|x_n\| \rightarrow \infty.$

A question arising from Schaefer's fixed point theorem

Idea

Recall that

Theorem 3.1 (Schaefer's fixed point theorem)

$T : [0, 1] \times X \longrightarrow X$ is a continuous compact mapping. At least one of the following assertions is true

- (i) $\exists x^* \in X : x^* = T(1, x^*)$,
- (ii) There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and $\|x_n\| \rightarrow \infty$.

A question arising from Schaefer's fixed point theorem

Question 3.2

Can we replace the assertion (ii) by the following one :

- (ii)' There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and x_n satisfies a certain expected property (CEP(x_n) for short).

Idea

Recall that

Theorem 3.1 (Schaefer's fixed point theorem)

$T : [0, 1] \times X \rightarrow X$ is a continuous compact mapping. At least one of the following assertions is true

- (i) $\exists x^* \in X : x^* = T(1, x^*)$,
- (ii) There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and $\|x_n\| \rightarrow \infty$.

A question arising from Schaefer's fixed point theorem

Question 3.2

Can we replace the assertion (ii) by the following one :

- (ii)' There exists (t_n, x_n) such that $x_n = t_n T(t_n, x_n)$ and x_n satisfies a certain expected property (CEP(x_n) for short).

We will provide the so-called *half-continuity method* for addressing the question above.

Half-Continuity

Half-Continuity

Definition 3.3

A map $T : C \rightarrow X$ is said to be *half-continuous* if for each $x \in C$ with $x \neq T(x)$ there exists $p \in X^*$ and a neighborhood W of x in C such that

$$\langle p, T(y) - y \rangle > 0$$

for all $y \in W$ with $y \neq T(y)$.

Half-Continuity

Definition 3.3

A map $T : C \rightarrow X$ is said to be *half-continuous* if for each $x \in C$ with $x \neq T(x)$ there exists $p \in X^*$ and a neighborhood W of x in C such that

$$\langle p, T(y) - y \rangle > 0$$

for all $y \in W$ with $y \neq T(y)$.

Remark 3.4 ([TK10], Proposition 3.2)

Every continuous map $T : C \rightarrow X$ is half-continuous.

Half-Continuity

Definition 3.3

A map $T : C \rightarrow X$ is said to be *half-continuous* if for each $x \in C$ with $x \neq T(x)$ there exists $p \in X^*$ and a neighborhood W of x in C such that

$$\langle p, T(y) - y \rangle > 0$$

for all $y \in W$ with $y \neq T(y)$.

Remark 3.4 ([TK10], Proposition 3.2)

Every continuous map $T : C \rightarrow X$ is half-continuous.

The following is our foundation.

Half-Continuity

Definition 3.3

A map $T : C \rightarrow X$ is said to be *half-continuous* if for each $x \in C$ with $x \neq T(x)$ there exists $p \in X^*$ and a neighborhood W of x in C such that

$$\langle p, T(y) - y \rangle > 0$$

for all $y \in W$ with $y \neq T(y)$.

Remark 3.4 ([TK10], Proposition 3.2)

Every continuous map $T : C \rightarrow X$ is half-continuous.

The following is our foundation.

Theorem 3.5 ([TK10] or [Bic06])

Let C be a nonempty closed convex subset of a Banach space X . If $T : C \rightarrow C$ is half-continuous and $T(C)$ is precompact, then T has a fixed point.

Technique

Suppose that

$$\text{CEP}(x) \quad \underbrace{\text{is implied by}} \quad F(t, x) = 0,$$

where the function $F : [0, 1] \times X \longrightarrow \mathbb{R}$ satisfies the following conditions :

- (a) F is continuous,
- (b) $F(0, 0) < 0$,
- (c) $\sup \left\{ \|T(t, x)\|_{L^\infty} : F(t, x) \leq 0 \right\} \leq C$.

We will address the question as follows.

Improving the Recent Results

Improving the Recent Results

Improvement 1 :

Improving the Recent Results

Improvement 1 :

Theorem 3.6 (Holst–Nagy–Tsogtgerel [HNT09])

For a given (M, g) with the positive Yamabe invariant

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Improving the Recent Results

Improvement 1 :

Theorem 3.6 (Holst–Nagy–Tsogtgerel [HNT09])

For a given (M, g) with the positive Yamabe invariant

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question 1 : Is the smallness assumption on σ improved with L^2 -norm ?

Improving the Recent Results

Improvement 1 :

Theorem 3.6 (Holst–Nagy–Tsogtgerel [HNT09])

For a given (M, g) with the positive Yamabe invariant

$$\|\sigma\|_{L^\infty} \text{ small} \implies (1) \text{ has a solution.}$$

Question 1 : Is the smallness assumption on σ improved with L^2 -norm ?

Answer : YES, it is. We obtain that for a given (M, g) with the positive Yamabe invariant

$$\|\sigma\|_{L^2} \text{ small} \implies (1) \text{ has a solution.}$$

Improving the Recent Results

Improvement 2 :

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Answer : YES.

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Answer : YES.

Improvement 3 : (joint work with David Maxwell)

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Answer : YES.

Improvement 3 : (joint work with David Maxwell)

Theorem 3.8 (N [Ngu])

For a given (M, g) with the positive Yamabe invariant and α large :

$$\left\{ \begin{array}{l} \exists c > 0 : |L\left(\frac{d\tau}{\tau}\right)| \leq c \left|\frac{d\tau}{\tau}\right|^2 \\ |\tau| > 0 \end{array} \right. \implies \left\{ \begin{array}{l} \exists \{t_n\} \text{ converging to } 0, \\ (1) \text{ w.r.t. } (g, t_n \tau^\alpha, \sigma) \text{ has two solutions.} \end{array} \right.$$

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Answer : YES.

Improvement 3 : (joint work with David Maxwell)

Theorem 3.8 (N [Ngu])

For a given (M, g) with the positive Yamabe invariant and α large :

$$\left\{ \begin{array}{l} \exists c > 0 : |L\left(\frac{d\tau}{\tau}\right)| \leq c \left|\frac{d\tau}{\tau}\right|^2 \\ |\tau| > 0 \end{array} \right. \implies \left\{ \begin{array}{l} \exists \{t_n\} \text{ converging to } 0, \\ (1) \text{ w.r.t. } (g, t_n \tau^\alpha, \sigma) \text{ has two solutions.} \end{array} \right.$$

Question 3 : Can Theorem 3.8 be extended to all t small enough ?

Improving the Recent Results

Improvement 2 :

Theorem 3.7 (Dahl–Gicquaud–Humbert [DGH12])

$$\left\{ \begin{array}{l} |\tau| > 0 \\ (LM_\alpha) \text{ has no non-zero solution} \end{array} \right. \implies (1) \text{ has a solution.}$$

Question 2 : Is Theorem 3.7 still true for an arbitrary τ ?

Answer : YES.

Improvement 3 : (joint work with David Maxwell)

Theorem 3.8 (N [Ngu])






For a given (M, g) with the positive Yamabe invariant and α large :

$$\left\{ \begin{array}{l} \exists c > 0 : |L\left(\frac{d\tau}{\tau}\right)| \leq c \left|\frac{d\tau}{\tau}\right|^2 \\ |\tau| > 0 \end{array} \right. \implies \left\{ \begin{array}{l} \exists \{t_n\} \text{ converging to } 0, \\ (1) \text{ w.r.t. } (g, t_n \tau^\alpha, \sigma) \text{ has two solutions.} \end{array} \right.$$

Question 3 : Can Theorem 3.8 be extended to all t small enough ?

Answer : YES.

Thank you for your attention !

-  P. Bich, Some fixed point theorems for discontinuous mappings, Cahiers de la Maison des Sciences Economiques, 2006.
43, 44, 45, 46, 47
-  Y. Choquet-Bruhat and J.W. York, Jr., The Cauchy problem, General relativity and gravitation, Vol. 1, Plenum, New York, 1980, pp. 99–172.
4, 5, 6, 7, 8, 9, 10
-  M. Dahl, R. Gicquaud, and E. Humbert, A limit equation associated to the solvability of the vacuum Einstein constraint equations by using the conformal method, Duke Math. J. **161** (2012), no. 14, 2669–2697.
20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 54, 55, 56, 57, 58, 59, 60, 61
-  Y. Fourès-Bruhat, Théorème d'existence pour certains systèmes d'équations aux dérivées partielles non linéaires, Acta Math. **88** (1952), 141–225.
-  M. Holst, G. Nagy, and G. Tsogtgerel, Far-from-constant mean curvature solutions of Einstein's constraint equations with positive Yamabe metrics, Phys. Rev. Lett. **100** (2008), no. 16, 161101, 4.
20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36



_____, Rough solutions of the Einstein constraints on closed manifolds without near-CMC conditions, *Comm. Math. Phys.* **288** (2009), no. 2, 547–613.

49, 50, 51, 52, 53



J. Isenberg and V. Moncrief, A set of nonconstant mean curvature solutions of the Einstein constraint equations on closed manifolds, *Classical Quantum Gravity* **13** (1996), no. 7, 1819–1847.

11, 12, 13, 14



J. Isenberg, Constant mean curvature solutions of the Einstein constraint equations on closed manifolds, *Class. Quantum Grav.* **12** (1995), no. 9, 2249–2274.

11, 12, 13, 14



A. Lichnerowicz, L'intégration des équations de la gravitation relativiste et le problème des n corps, *J. Math. Pures Appl. (9)* **23** (1944), 37–63.

4, 5, 6, 7, 8, 9, 10



T.C Nguyen, Nonexistence and nonuniqueness results for the vacuum Einstein constraint equations with far-from-constant mean curvature.

20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 54, 55, 56, 57, 58, 59, 60, 61



I. Termwuttipong and T. Kaewtem, Fixed point theorem of half-continuous mappings on topological vector space, Fixed Point Theory Appl. (2010).
43, 44, 45, 46, 47