

# An Application of Model Theoretic Ramsey Theory

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# Background

## Definition

Suppose  $G = (V, E)$  is a graph and  $X \subseteq V$ . The set  $X$  is called *complete* if every pair of elements in  $X$  are adjacent. The set  $X$  is called *independent* if every pair of elements in  $X$  are non-adjacent.

## Theorem (Ramsey's Theorem)

For all  $s \in \mathbb{N}$ , there is a number  $R(s) \in \mathbb{N}$  such that any graph with  $R(s)$  vertices contains a complete or independent set of size  $s$ .

## Fact (Bounds for $R(s)$ )

$$(1 + o(1)) \frac{\sqrt{2s}}{e} 2^{s/2} \leq R(s) \leq s^{\frac{-c \log s}{\log \log s}} 4^s.$$

# Ramsey-like graphs

In other words, there are constants  $C_1, C_2$  such that for all  $n$ ,

- 1 A graph  $G$  with  $n$  vertices always contains a complete or independent set of size  $C_1 \log n$ .
- 2 There are graphs of size  $n$  containing no complete or independent set bigger than  $C_2 \log n$ . Such graphs are constructed via probabilistic methods.

## Definition

If a graph  $G$  has size  $n$  and no complete or independent set of size  $C_2 \log n$ , call  $G$  a *Ramsey-like graph*.

Erdős-Hajnal: “There are many ways to express that such graphs are complicated and similar to random graphs.”

# Questions

## Questions

- 1 What does it mean to be “like random graphs”?
- 2 What does it mean to be “complicated”?

These are questions investigated by model theory in the infinite setting via notions of combinatorial complexity called *dividing lines*.

**Model theoretic approach:** Consider notions from the infinite setting which correspond to low complexity. Is there a way of finitizing them? Does doing so shed light on what's going on in the finite setting?

# Example

## Definition

Given an integer  $k \geq 2$ , a *half-graph of height  $k$*  is a graph  $G$  with vertex set  $\{a_1, \dots, a_k, b_1, \dots, b_k\}$  such that  $a_i$  is adjacent to  $b_j$  in  $G$  if and only if  $i \leq j$ .

## Definition

A graph  $G$  is called  *$k$ -edge stable* if it contains no half-graph of height  $k$  as an induced subgraph.

## Theorem (Malliaris-Shelah)

*For every integer  $k \geq 2$ , there is an  $\epsilon = \epsilon(k)$  such that for every  $n$ , a  $k$ -edge stable graph with  $n$  vertices has a complete or independent set of size  $n^\epsilon$ .*

Stable graphs are really un-Ramsey-like ( $n^\epsilon \gg C_2 \log n$ ).

## Arranging graphs into type trees: some notation

Given  $n \geq 2$ , set

$$2^{<n} = \{ \text{sequences of 0's and 1's of length } < n \}$$

Give  $2^{<n}$  a tree structure as follows. Suppose  $\eta, \eta' \in 2^{<n}$ , set

$$\eta \triangleleft \eta' \Leftrightarrow \eta \text{ is a proper initial segment of } \eta'.$$

Given  $\eta \in 2^{<n-1}$  and  $i \in \{0, 1\}$ , write  $\eta \wedge i$  for the element of  $2^{<n}$  obtained by adding  $i$  to the end of  $\eta$ .

Given  $X \subseteq 2^{<n}$ , think of  $X$  as a tree with structure induced from  $2^{<n}$ . A *branch* in  $X$  is a maximal comparable subset of  $X$ .

## Arranging graphs into type trees

Suppose  $G = (V, E)$  is a finite graph of size  $n$ . Here is an algorithm to index  $V$  by a subset  $X \subseteq 2^{<n}$ .

**Level 0:** Choose any  $v_{<>} \in V$ .

**Level 1:**

- If there are vertices adjacent to  $v_{<>}$ , choose one to be  $v_1$ .
- If there are vertices non-adjacent to  $v_{<>}$  (other than  $v_{<>}$ ), choose one to be  $v_0$ .

**Level  $k + 1$ :** Suppose we have chosen our indexing up to level  $k$ . Choose some  $v_\eta$  in level  $k$ . Set  $B_\eta = \{v_{\eta'} : \eta' \triangleleft \eta\}$ .

Let  $X_\eta = \{\text{vertices } v \text{ not yet chosen such that } N(v) \cap B_\eta = N(v_\eta) \cap B_\eta\}$ .

- Choose  $v_{\eta \wedge 1} \in N(v_\eta) \cap X_\eta$  (if possible).
- Choose  $v_{\eta \wedge 0} \in \overline{N(v_\eta)} \cap X_\eta$  (if possible).

Continue this process until we've done this for all  $v_\eta$  from level  $k$ .

# Arranging graphs into type trees

At the end of this algorithm, we end up with an indexing

$$V = \{v_\eta : \eta \in X\}$$

for some  $X \subseteq 2^{<n}$  with the following properties:

- 1 For every  $\eta \in X$ , if  $\eta \wedge 1 \in X$ , then  $v_\eta$  is adjacent to  $v_{\eta \wedge 1}$ .
- 2 For every  $\eta \in X$ , if  $\eta \wedge 0 \in X$ , then  $v_\eta$  is non-adjacent to  $v_{\eta \wedge 0}$ .
- 3 For every  $\eta$ , if  $\eta \wedge 1$  and  $\eta \wedge 0$  are both in  $X$  then for every  $\eta' \triangleleft \eta$ ,

$$v_{\eta \wedge 1} \text{ is adjacent to } v_{\eta'} \Leftrightarrow v_{\eta \wedge 0} \text{ is adjacent to } v_{\eta'}.$$

Call such an indexing  $V = \{v_\eta : \eta \in X\}$  a *type tree*.



# Long branches

## Observation

Suppose  $G = (V, E)$  is a graph with  $n$  vertices,  $X \subseteq 2^{<n}$  and  $V = \{v_\eta : \eta \in X\}$  is a type tree. Suppose  $\eta_1$  is at the end of a branch  $B$  in  $X$ . Set  $V_B = \{v_\eta : \eta \in B\}$ . Then

- (i)  $\{v_{\eta_1}\} \cup (N(v_{\eta_1}) \cap V_B)$  is complete.
- (ii)  $\{v_{\eta_1}\} \cup \overline{N(v_{\eta_1})} \cap V_B$  is independent.

## Proof.

We show (i). Suppose  $v_{\eta_2}$  and  $v_{\eta_3}$  are distinct points in  $N(v_{\eta_1}) \cap V_B$ . We want to show  $v_{\eta_2}$  and  $v_{\eta_3}$  are adjacent. Without loss assume  $\eta_3 \triangleleft \eta_2 \triangleleft \eta_1$ . Because the indexing is a type tree,

$$v_{\eta_1} \text{ is adjacent to } v_{\eta_3} \Leftrightarrow v_{\eta_2} \text{ is adjacent to } v_{\eta_3}.$$

$$v_{\eta_3} \in N(v_{\eta_1}) \Rightarrow v_{\eta_1} \text{ is adjacent to } v_{\eta_3} \Rightarrow v_{\eta_2} \text{ is adjacent to } v_{\eta_3}. \quad \square$$

# Long branches

## Corollary

Suppose  $G = (V, E)$  is a graph with  $n$  vertices,  $X \subseteq 2^{<n}$  and  $V = \{v_\eta : \eta \in X\}$  is a type tree. Suppose  $X$  contains a branch of length  $h$ . Then  $G$  contains a complete or independent set of size  $h/2$ .

## Proof.

Suppose  $B$  is a branch in  $X$  of size  $h$  with end vertex  $v_{\eta_1}$ . Then

$$V_B = \left( \{v_{\eta_1}\} \cup (N(v_{\eta_1}) \cap V_B) \right) \sqcup \left( \overline{N(v_{\eta_1})} \cap V_B \right),$$

- (i)  $\{v_{\eta_1}\} \cup (N(v_{\eta_1}) \cap V_B)$  is complete, and
- (ii)  $\overline{N(v_{\eta_1})} \cap V_B$  is independent.

One must have size  $|V_B|/2 = |B|/2 = h/2$ . □

# Tree rank and tree height

## Question

What can force graphs to have long branches?

## Definition

Suppose  $G = (V, E)$  is a graph. The *tree rank* of  $G$ ,  $t = t(G)$ , is the largest integer such that there is a set  $V' \subseteq V$  and an indexing  $V' = \{v_\eta : \eta \in 2^{<t}\}$  which is a type tree.

Idea:  $t(G) =$  largest possible “full binary tree” inside  $G$ .

## Definition

Suppose  $G = (V, E)$  is a graph. The *tree height* of  $G$ ,  $h = h(G)$ , is the smallest integer such that every indexing of  $V$  which is a type tree has a branch of length  $h$ .

# Tree rank and tree height

Theorem (Malliaris-T., adapted from Malliaris-Shelah)

If a graph  $G$  has  $N$  vertices, tree rank  $t$  and tree height  $h$ , then

$$h \geq \frac{(N/t)^{\frac{1}{t+1}}}{2}.$$

Idea: tree can't get too full/wide, so forces a long branch to happen.

## Definition

A family of graphs  $\mathcal{G}$  is *tree-bounded* if there is an integer  $t$  such that for all  $G \in \mathcal{G}$ ,  $t(G) \leq t$ .

# Tree-bounded families

## Corollary

If  $\mathcal{G}$  is a collection of finite graphs which is tree bounded, then there is an  $\epsilon$  such that every  $N$ -element  $G \in \mathcal{G}$  contains a complete or independent set of size

$$N^\epsilon \gg C_2 \log N.$$

Tree-bounded families are really un-Ramsey-like.

## Examples

The following  $\mathcal{G}$  are tree-bounded families.

- 1 Given  $k \geq 2$ ,  $\mathcal{G}$  = the family of finite  $k$ -edge stable graphs.
- 2 Given  $n \geq 2$ ,  $\mathcal{G}$  = the family of  $K_n$ -free graphs.
- 3 Given any finite graph  $H$  which can be realized as a branch through a type tree,  $\mathcal{G}$  = the family of finite  $H$  free graphs.

Note: the second family has unbounded VC-dimension.

For an application of this improved Ramsey's theorem to a theorem in structural graph theory, see

<http://arxiv.org/abs/1511.02544>

Thank you for listening!