

# Approximate Ramsey properties of matrices and finite dimensional normed spaces.

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# Outline

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## Definition

- 1 Let  $\mathcal{M}_{n \times k}(\mathbb{F})$  be the set of all  $n \times k$ -matrices over  $\mathbb{F}$ .
- 2 Let  $\mathcal{I}_{n \times k}(\mathbb{F})$  be the collection of  $n \times k$ -matrices of rank  $k$ .
- 3 Let  $\text{GL}_k(\mathbb{F})$  be the group of invertible  $k \times k$ -matrices.
- 4 Given a vector space  $V$  over  $\mathbb{F}$ , and  $k \in \mathbb{N}$ , let  $\text{Gr}(k, V)$  be the collection of all subspaces of  $V$  of dimension exactly  $k$ .

## Definition

Given  $A \in \mathcal{I}_{n \times k}$ , let

$$A = \text{red}(A) \cdot \tau(A)$$

be the unique decomposition of  $A$  by the *reduced column column form* of  $A$  and a unique invertible matrix  $\tau(A) \in \text{GL}_k(\mathbb{F})$ .

Let

$$\mathcal{E}_{n \times k} := \{A \in \mathcal{I}_{n \times k} : \text{red}(A) = A\}.$$

## Theorem (Graham-Leeb-Rothschild; Kechris-Pestov-Todorcevic)

For every  $k, m \in \mathbb{N}$  and every  $r \in \mathbb{N}$  there exists  $n$  such that for every coloring

$$f : \mathcal{I}_{n \times k}(\mathbb{F}) \rightarrow r$$

there exists  $R \in \mathcal{E}_{n \times m}$  and  $g : \text{GL}_k \rightarrow r$  such that

$$\begin{array}{ccc}
 R \cdot \mathcal{I}_{m \times d}(\mathbb{F}) & \xrightarrow{f} & r \\
 \tau \downarrow & \curvearrowright & \nearrow g \\
 \text{GL}_k(\mathbb{F}) & & 
 \end{array}$$

Since every subspace  $W \in \text{Gr}(k, \mathbb{F}^n)$  is the image of a matrix  $A \in \mathcal{E}_{n \times k}$ , the previous theorem gives the following.

### Corollary

*For every  $k, m \in \mathbb{N}$  and  $r \in \mathbb{N}$  there exists  $n$  such that for every coloring  $c : \text{Gr}(k, \mathbb{F}^n) \rightarrow r$  there exists  $V \in \text{Gr}(m, \mathbb{F}^n)$  such that  $c$  is constant on  $\text{Gr}(k, V)$ .*

GLR Theorem is a consequence of the **Dual Ramsey Theorem**.

## Definition

Let  $(S, <_S)$  and  $(T, <_T)$  be two linearly ordered sets. A surjection  $\theta : S \rightarrow T$  is called a *rigid-surjection* when  $\min \theta^{-1}(t_0) < \min \theta^{-1}(t_1)$  for every  $t_0 < t_1$  in  $T$ . Let  $\text{Epi}(S, T)$  be collection of all those surjections.

## Theorem (Dual Ramsey Theorem; Graham and Rothschild)

For every finite linearly ordered sets  $S$  and  $T$ , and  $r \in \mathbb{N}$  there exists  $n \geq \#T$  such that every  $r$ -coloring of  $\text{Epi}(n, S)$  has a monochromatic set of the form  $\text{Epi}(T, S) \circ \sigma$  for some  $\sigma \in \text{Epi}(n, T)$ .

# Sketch of Proof of GLR

## Definition

We order first  $\mathbb{F}$  in a way that 0 and 1 are the first two elements, and then each power  $\mathbb{F}^k$  antilexicographically. Let  $\Phi : \text{Epi}(n, \mathbb{F}^k) \rightarrow \mathcal{I}_{n \times k}$  be defined for  $\sigma \in \text{Epi}(n, \mathbb{F}^k)$  as the matrix  $A = \Phi(\sigma)$  whose  $\xi$ -row vector is  $\sigma(\xi)$ , for each  $\xi < n$ .  $\Phi(\sigma)$  is the  $\sigma$ -Matrix.

For fixed integers  $k, m$  and  $r$ , let  $n$  be the Graham-Rothschild number for  $\mathbb{F}^k, \mathbb{F}^m$ , and number of colors  $r^{\text{GL}_k(\mathbb{F})}$ . We claim that  $n$  works.

Fix  $f : \mathcal{I}_{n \times m} \rightarrow r$ . Let  $c : \text{Epi}(n, \mathbb{F}^k) \rightarrow r^{\text{GL}_k(\mathbb{F})}$  be the mapping

$$\begin{aligned} \sigma &\mapsto c(\sigma) : \text{GL}_k(\mathbb{F}) \rightarrow r \\ B &\mapsto f(\Phi(\sigma) \cdot B) \end{aligned}$$

Let  $\varrho \in \text{Epi}(n, \mathbb{F}^m)$  be such that  $c$  is constant on  $\text{Epi}(\mathbb{F}^m, \mathbb{F}^k) \circ \varrho$  with value  $g \in r^{\text{GL}_k(\mathbb{F})}$ .



## Sketch of Proof of GLR

Let  $R$  be the  $\varrho$ -matrix

$$R := \Phi(\varrho).$$

### Claim

For  $A \in \mathcal{I}_{m \times k}$  one has that

$$f(R \cdot A) = g(\tau(R \cdot A)) = g(\tau(A)).$$

Fix such  $A$ ; decompose it  $A = \text{red}(A) \cdot \tau(A)$ . Then

$$R \cdot A = \Phi(\varrho) \cdot \text{red}(A) \cdot \tau(A).$$

It is easy to see that

$$\text{red}(A)^t \in \text{Epi}(\mathbb{F}^m, \mathbb{F}^k).$$

It is also easy that

$$\Phi(\varrho) \cdot \text{Red}(A) = \Phi(\text{Red}(A)^t \circ \varrho).$$

## Sketch of Proof of GLR

Hence, setting  $\sigma := \text{Red}(A)^t$ ,

$$f(R \cdot A) = f(\Phi(\sigma \circ \varrho) \cdot \tau(A)) = c(\sigma \circ \varrho)(\tau(A)) = g(\tau(A)).$$

It is not difficult to see the **minimality** of  $\tau : \mathcal{I}_{n \times k} \rightarrow \text{GL}_k(\mathbb{F})$ ; that is,

given any  $R \in \mathcal{I}_{n \times m}$  and any  $B \in \text{GL}_k(\mathbb{F})$  there is  $A \in \mathcal{I}_{m \times k}$  such that  $\tau(R \cdot A) = B$ .

## Definition

Given  $k, n$ , we consider  $\mathcal{M}_{n \times k}(\mathbb{F})$  as a metric space by considering the matrix norm

$$\|A\|_{\infty} := \max_{\|v\|_{\infty}=1} \|Av\|_{\infty}$$

## Definition

Given an integer  $k$ , let  $\mathcal{N}_k$  be the collection of all norms  $M : \mathbb{F}^k \rightarrow \mathbb{R}$ .

## Example

Given  $v = (v_i)_{i < n} \in \mathbb{F}^n$ ,

$$N_{\infty}(v) = \|v\|_{\infty} := \max_{i < n} |v_i|$$

$$N_p(v) = \|v\|_p := \left( \sum_{i < n} |v_i|^p \right)^{\frac{1}{p}}, \quad p \geq 1.$$

## Definition

We say that  $M \leq N$  if  $M(v) \leq N(v)$  for every  $v \in \mathbb{F}^k$ . Let

$$[M, N] := \{P \in \mathbb{F}^d : M \leq P \leq N\}$$

Observe that  $\bigcup_{0 < a \leq b < \infty} [aM, bM]$  for every norm  $M \in \mathcal{N}_k$ .

$\mathcal{N}_k$  has natural metrics:

### Definition

Given  $M, N \in \mathcal{N}_k$ , let

$$d_0(M, N) := d_{\mathcal{H}}^{\ell_1}(B_{(\mathbb{F}^k, M^*)}, B_{(\mathbb{F}^k, N^*)})$$

$$d_1(M, N) := \log \max\{\|Id\|_{M, N}, \|Id\|_{N, M}\},$$

where

- (a)  $d_{\mathcal{H}}^{\ell_1}(K, L)$  is the Hausdorff distance between two compacta  $K, L \subseteq \mathbb{F}^k$  when considering  $\mathbb{F}^k$  endowed with the  $\ell_1$ -metric.
- (b)  $M^*$  is the *dual norm* of  $M$ , defined by

$$M^*(v) := \max_{M(w)=1} w^*v$$

and  $B_{(\mathbb{F}^k, P)} := \{v \in \mathbb{F}^k : P(v) \leq 1\}$  is the *unit ball* of  $(\mathbb{F}^k, P)$ .

## Remark

- 1  $\mathcal{N}_k$  is *not* compact.
- 2 If  $aN_\infty \leq M_0, M_1 \leq bN_\infty$  then

$$ad_0(M_0, M_1) \leq d_1(M_0, M_1) \leq bd_0(M_0, M_1).$$

## Definition

Let  $\mathcal{I}_{n \times k}$  be the set of  $n \times k$ -matrices of rank  $k$ . Let

$$\tau_\infty : \mathcal{I}_{n \times k} \rightarrow \mathcal{N}_k$$

be defined by  $\tau_\infty(A)(v) := N_\infty(Av)$ . Let  $\mathcal{E}_{n \times k}$  be the set of all matrices  $A \in \mathcal{I}_{n \times k}$  such that  $\tau_\infty(A) = N_\infty$ ; that is,  $A$  defines an isometry between  $\ell_\infty^k$  and  $\ell_\infty^n$ .

## Proposition

$\tau_\infty : (\mathcal{I}_{n \times k}, N_\infty) \rightarrow (\mathcal{N}_k, d_0)$  is 1-Lipschitz, and

$$\bigcup_{n \geq k} \tau_\infty(\mathcal{I}_{n \times k}) \text{ is dense in } \mathcal{N}_k.$$

## Theorem

For every  $k, m$ ,  $0 < a \leq b$  and every  $\varepsilon > 0, L > 0$  there exists  $n$  such that for every Lipschitz coloring

$$f : \mathcal{I}_{n \times k} \rightarrow \mathbb{R}$$

with  $\text{Lip}(f) \leq L$  there exists  $R \in \mathcal{E}_{n \times m}$  and  $g : \mathcal{N}_k \rightarrow \mathbb{R}$  such that  $\text{Lip}(g) \leq (1 + \varepsilon)\text{Lip}(f)$  and

$$\begin{array}{ccc}
 R \cdot \mathcal{I}_{m \times k}[a, b] & \xrightarrow{f} & \mathbb{R} \\
 \tau_\infty \downarrow & \nearrow g & \\
 \mathcal{N}_k & & 
 \end{array}$$

(A circle with  $\varepsilon$  and an arrow points from the top-left node to the bottom-right node.)



## Remark

*The previous result is true for a large class of metric spaces, not only  $\mathbb{R}$ ; in particular for  $\ell_\infty^n(\mathbb{R})$ .*

*$\tau_\infty : \mathcal{I}_{n \times k} \rightarrow \mathcal{N}_k$  is unique in some precise sense.*

## Definition

Given  $V, W \in \text{Gr}(k, \mathbb{F}^n)$ , let  $d(V, W)$  be the Hausdorff distance between  $B_{(V, N_\infty)}$  and  $B_{(W, N_\infty)}$  with respect to the  $\infty$ -distance on  $\mathbb{F}^n$ .

## Definition

Let

$$d_{\text{BM}}(M, N) := \log \inf_{A \in \text{GL}_k} \|A\|_{M, N} \cdot \|A^{-1}\|_{N, M}$$

be the *Banach-Mazur* pseudo metric on  $\mathcal{N}_K$ . Let

$$\mathcal{B}_k := \mathcal{N}_k / \{d = 0\}.$$

$\mathcal{B}_k$  is the *k-Banach-Mazur compactum* (which is compact)

## Definition

Let  $\nu_\infty : \text{Gr}(k, \mathbb{F}^n) \rightarrow \mathcal{B}_k$  be defined for  $V \in \text{Gr}(k, \mathbb{F}^n)$  as the isometry class of the normed space  $(V, N_\infty)$ , i.e.,

$$\nu_\infty(V) = [\tau_\infty(A)]_{\text{BM}}$$

where  $A \in \mathcal{I}_{n \times k}$  is such that  $\text{Im}A = V$ .

## Proposition

$\nu_\infty$  is  $k^2$ -Lipschitz.

## Theorem

For every  $k, m$ , and every  $\varepsilon > 0, L > 0$  there exists  $n$  such that for every Lipschitz coloring

$$f : \text{Gr}(k, \mathbb{F}^n) \rightarrow \mathbb{R}$$

with  $\text{Lip}(f) \leq L$  there exists  $V \in \text{Gr}(m, \mathbb{F}^n)$  with  $(V, N_\infty)$  linearly isometric to  $\ell_\infty^m$  and  $g : \text{Gr}(k, V) \rightarrow \mathbb{R}$  such that  $\text{Lip}(g) \leq (1 + \varepsilon)\text{Lip}(f)$  and

$$\begin{array}{ccc}
 \text{Gr}(k, V) & \xrightarrow{f} & \mathbb{R} \\
 \nu_\infty \downarrow & \nearrow g & \\
 \mathcal{B}_k & & 
 \end{array}$$

(A circled  $\varepsilon$  is placed near the arrow  $g$ )

Since the  $\ell_\infty^n$ 's are almost-universal, for large  $N$ ,  $\ell_\infty^N$  has an almost-isometrical  $V$  copy of  $\ell_2^m$ , that is,  $(V, N_\infty)$  is almost isometric to  $\ell_2^m$ . Hence,  $\nu_\infty(\text{Gr}(k, V))$  as a small diameter. It readily follows the following.

### Corollary

*For every  $k, m$ , and every  $\varepsilon > 0, L > 0$  there exists  $n$  such that for every Lipschitz coloring*

$$f : \text{Gr}(k, \mathbb{F}^n) \rightarrow \mathbb{R}$$

*with  $\text{Lip}(f) \leq L$  there exists  $V \in \text{Gr}(m, \mathbb{F}^n)$  such that*

$$\text{osc}(f \upharpoonright \text{Gr}(k, V)) < \varepsilon.$$

## Definition

We say that a collection of Banach spaces  $\mathcal{F}$  has the *Approximate Ramsey Property (ARP)* when for every  $F, G \in \mathcal{F}$ ,  $K > 0$  and  $\varepsilon > 0$  there exists  $H \in \mathcal{F}$  such that  $\text{Emb}(G, H) \neq \emptyset$  and such that for every Lipschitz map  $f : \text{Emb}(F, H) \rightarrow \mathbb{R}$  with  $\text{Lip}(f) \leq L$  there exists

$$\varrho \in \text{Emb}(G, H).$$

such that

$$\text{osc}(f \upharpoonright \varrho \circ \text{Emb}(F, G)) < \varepsilon.$$

$(\mathbb{F} = \mathbb{R})$

## Definition

Recall that a finite dimensional space  $F$  is called *polyhedral* when its unit ball is a polyhedron; that is, it has finitely many extreme points.

It is well-known that a f.d. space is polyhedral if and only if it can be isometrically embedded into some  $\ell_\infty^n$ . Polyhedral spaces are dense in the class of finite dimensional spaces. Polyhedral spaces are isometric to  $(\mathbb{F}^k, \tau_\infty(A))$  for some  $k$  and  $A \in \mathcal{I}_{n \times k}$ .

## Theorem

*The Polyhedral spaces have the ARP.*

## Proof.

This is a particular case of our result over matrices. □

However our proof of the ARP of injective matrices is a consequence of the previous Theorem. In fact, we prove first the following particular case:

## Theorem

*The class of isometric spaces to some  $\ell_\infty^n$  have the ARP.*



The following is used to prove the ARP of polyhedral spaces.

### Proposition

Every polyhedral space  $F$  has an *injective envelope*. That is, there is some  $n$  and an isometric embedding  $T_F : F \rightarrow \ell_\infty^n$  such that for any other isometric embedding  $U : F \rightarrow \ell_\infty^k$  there is an isometric embedding  $\Theta : \ell_\infty^n \rightarrow \ell_\infty^k$  such that  $U = \Theta \circ T_F$ .

## Theorem

*The class of f.d. normed spaces have the ARP.*

Polyhedral spaces are dense in the class of f.d. spaces. So, an isometric embedding  $T$  between two f.d. spaces  $X$  and  $Y$  will induce a  $\theta$ -embedding  $T'$  ( $\theta^{-1}\|x\| \leq \|T'x\| \leq \theta\|x\|$ ) between polyhedral spaces  $X'$  and  $Y'$  appropriately closed to  $X$  and  $Y$ . Our previous ARP is about isometric embeddings between polyhedral spaces. So, somehow, we have to turn  $T'$  into an isometric embedding between  $X'$  and  $Y'$ . In general, it is not true that a  $\theta$ -isometric embedding is  $\theta'$ -close to an isometric embeddings, as there are many spaces with two isometries, yet with many approximate isometries. We use following extension of a result by Kubis and Solecki.

## Proposition

*Let  $(X_i)_{i \leq n}$  be f.d. spaces, and  $1 < \theta < \tau$ . Then there is a f.d. space  $Y$  having isometric copies of each  $X_i$  and an isometric embedding  $J : X_n \rightarrow Y$  such that for every  $\theta$ -embedding  $T : X_i \rightarrow X_n$  there is an isometric embedding  $I : X_i \rightarrow Y$  such that  $\|I - J \circ T\| < \tau - 1$ .*

## Corollary

*The group of (linear) isometries  $\text{Iso}(\mathbb{G})$  of the Gurarij space  $\mathbb{G}$  (the metric Fraïssé limit of f.d. normed spaces), with the pointwise topology is extremely amenable.*

## Corollary

*The universal minimal flow of the group of affine homeomorphism of the Poulsen simplex (the metric inverse Fraïssé limit of f.d. simplexes) with the uniform topology is the Poulsen simplex with its natural action.*

## Corollary

*The finite metric spaces have the ARP.*

## Definition

Let  $(S, <_S)$  and  $(T, <_T)$  be two linearly ordered sets. A surjection  $\theta : S \rightarrow T$  is called a **min-surjection** when  $\min \theta^{-1}(t_0) < \min \theta^{-1}(t_1)$  for every  $t_0 < t_1$  in  $T$ . Let  $\text{Epi}(S, T)$  be collection of all those surjections.

## Theorem (Dual Ramsey Theorem; Graham and Rothschild)

For every finite linearly ordered sets  $S$  and  $T$ , and  $r \in \mathbb{N}$  there exists  $n \geq \#T$  such that every  $r$ -coloring of  $\text{Epi}(n, S)$  has a monochromatic set of the form  $\text{Epi}(T, S) \circ \sigma$  for some  $\sigma \in \text{Epi}(n, T)$ .

## Definition

Let  $\mathcal{E}_{n \times k}$  be the collection of all  $n \times k$  matrices representing (in the unit bases of  $\mathbb{F}^k$  and  $\mathbb{F}^n$ ) a linear isometry between  $\ell_\infty^k$  and  $\ell_\infty^n$ .

## Proposition

$A \in \mathcal{E}_{n \times k}$  if and only if each column vector has  $\infty$ -norm one and each row vector has  $\ell_1$ -norm at most 1.

Given  $\varepsilon > 0$ , let  $\mathcal{N}$  be a finite  $\varepsilon$ -dense subset of the unit ball  $B_{\ell_1^k}$

- 1 containing 0 and the unit vectors  $u_i$ , and
- 2 such that for every non-zero  $v \in B_{\ell_1^k}$  there is  $w \in \mathcal{N}$  such that  $\|v - w\|_1 < \varepsilon$  and  $\|w\|_1 < \|v\|_1$ . e.g., for large  $l \geq 1$ ,

$$\mathcal{N} = \left( \left\{ \pm \frac{i}{kl} \right\}_{i \leq kl} \right)^k \cap B_{\ell_1^k}$$

Let  $<$  be any total ordering on  $\mathcal{N}$  such that  $v < w$  when  $\|v\|_1 < \|w\|_1$ . We order  $n$  canonically.

### Definition

Let  $\Phi : \text{Epi}(n, \mathcal{N}) \rightarrow \mathcal{E}_{n \times k}$  be defined for a  $\sigma : n \rightarrow \mathcal{N}$  as the  $n \times k$ -matrix  $A_\sigma$  whose  $\xi$ -row vector,  $\xi < n$ , is  $\sigma(\xi)$ .

It is easy to see that  $\Phi(\sigma) \in \mathcal{E}_{n \times k}$ . To simplify, suppose that  $\mathbb{F} = \mathbb{R}$ .

### Proposition

There is a finite set  $\Gamma \subseteq \mathcal{E}_{n \times k}$  such that for every other  $A \in \mathcal{E}_{n \times k}$  there exists  $B \in \Gamma$  such that

$$A^t B = \text{Id}_k.$$

We order now  $\Delta := \mathcal{N} \times \Gamma$  lexicographically, where  $\Delta$  is arbitrarily ordered. Given now  $k, m$ , a number of colors  $r$ , we use apply the DR theorem to  $\mathcal{N}$  and  $\Delta$  to find the corresponding  $n$ . Then  $n$  works: Given  $c : \mathcal{E}_{n \times k} \rightarrow r$ , we have the induced color

$$c \circ \Phi : \text{Epi}(n, \mathcal{N}) \rightarrow r$$

Let  $\varrho \in \text{Epi}(n, \Delta)$  such that  $c$  is constant on  $\text{Epi}(\Delta, \mathcal{N}) \circ \varrho$ . Let now  $R \in \mathcal{E}_{n \times m}$  be the matrix whose  $\xi$ -column is  $Av$  where  $\varrho(\xi) = (v, A)$ .

### Proposition

*For every  $B \in \mathcal{E}_{m \times d}$  there exists  $\sigma \in \text{Epi}(\Delta, \mathcal{N})$  such that  $\|RB - \Phi(\varrho \circ \sigma)\|_\infty < \varepsilon$ .*

- 1 There are similar results for other  $p$ 's, i.e. for f.d. subspaces of  $L_p[0, 1]$ . (Joint work with V. Ferenczi, B. Mbombo and S. Todorcevic)
- 2 There is an extension to non-commutative spaces (operator spaces and systems). (Joint work with M. Lupini).