

Rank of divisors on curves and graphs under  
specialization: the hyperelliptic case and the genus 3  
case

(joint work with Kazuhiko Yamaki)

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Specialization of Linear Series for Algebraic and Tropical Curves  
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## Plan of the talk

We study, in some sense, an equality condition of the specialization lemma.

- ① Specialization lemma (Baker, Amini-Caporaso)
- ② A question
- ③ Hyperelliptic case and genus 3 case (with an outline of proof)
- ④ Relation to the algebraic rank (introduced by Caporaso)

### References

- *Ranks of divisor classes on hyperelliptic curves and graphs under specialization*, preprint arXiv:1304.6979, to appear in IMRN.
- *Algebraic rank on hyperelliptic graphs and graphs of genus 3*, preprint arXiv:1401.3935.

# Part 1 Specialization lemma (Baker, Amini-Caporaso)

## Setup

$R$  : a complete discrete valuation ring (cDVR)

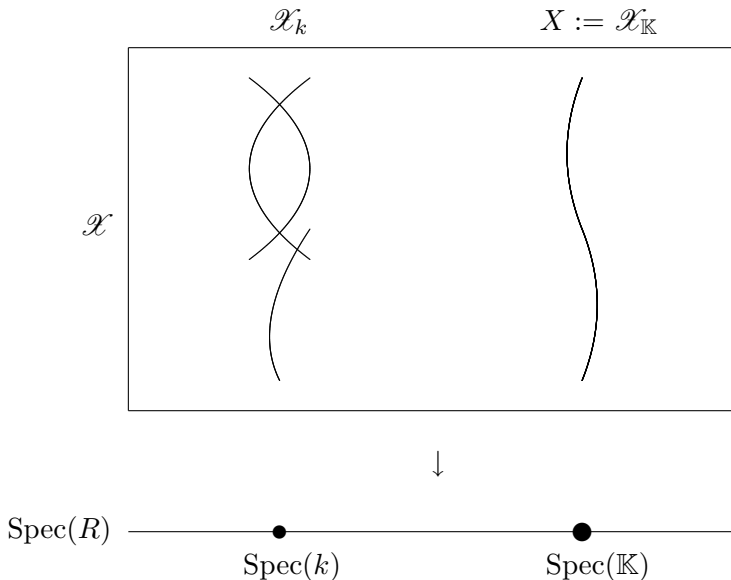
$\mathbb{K}$  : fractional field of  $R$ ,  $\bar{\mathbb{K}}$ : algebraic closure of  $\mathbb{K}$

$k$  : residue field of  $R$ , which we assume is algebraically closed

$\mathcal{X}$  : regular, generically smooth, semi-stable  $R$ -curve  
(projective and flat over  $R$ ,  $\mathcal{X}_k$  is a reduced nodal curve)

$X$  : generic fiber of  $\mathcal{X}$ , i.e.,  $X = \mathcal{X}_{\mathbb{K}}$

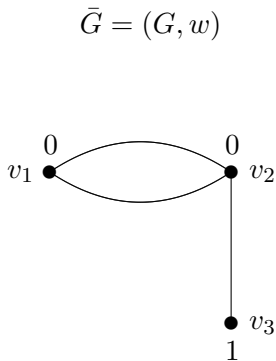
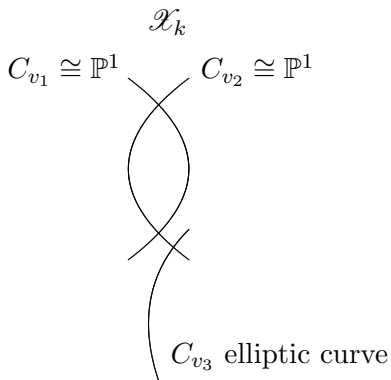
$\mathcal{X}_k$  : special fiber of  $\mathcal{X}$



**Reduction graph of  $\mathcal{X}$**  $\mathcal{X}_k$ : the special fiber of  $\mathcal{X}$ ,

a reduced curve with only nodes as singularities

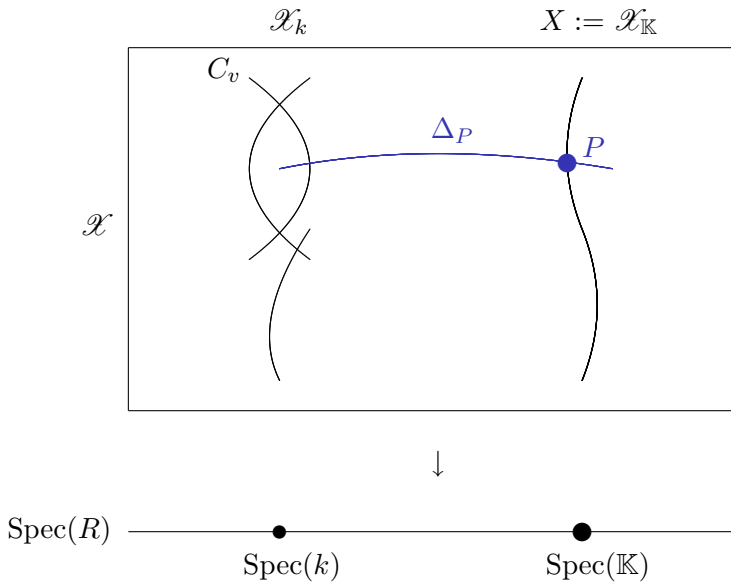
 $\bar{G} = (G, w)$ : the (vertex-weighted) dual graph of  $\mathcal{X}_k$ irreducible component  $C_v$  of  $\mathcal{X}_k \longleftrightarrow$  vertex  $v$  of  $G$ point in  $C_v \cap C_{v'} \longleftrightarrow$  edge of  $G$  connecting  $v$  and  $v'$ node in  $C_v \longleftrightarrow$  loop edge at  $v$  $V(G)$ : the set of vertices of  $G$  $E(G)$ : the set of edges of  $G$  $w : V(G) \rightarrow \mathbb{Z}_{\geq 0}$ ,  $w(v) :=$  “geometric genus of  $C_v$ ” $\bar{\Gamma} = (\Gamma, w)$ : the metric graph associated to  $\bar{G}$ ,where each edge of  $G$  is assigned length 1 $\Gamma_{\mathbb{Q}} := \{x \in \Gamma \mid \text{dist}(x, v) \in \mathbb{Q} \text{ for } \forall v \in V(G)\}$



## Specialization map

$P \in X(\mathbb{K})$ :  $\mathbb{K}$ -valued point in the generic fiber  $X$

$\Delta_P$ : Zariski closure of  $P$  in  $\mathcal{X}$ , which meets exactly one irreducible component of the special fiber  $\mathcal{X}_k$  (by the valuative criterion of properness)



The assignment

$$\tau : X(\mathbb{K}) \rightarrow V(G), \quad P \mapsto v$$

gives the **specialization map**, which extends to

$$\tau_* : \text{Div}(X_{\overline{\mathbb{K}}}) \rightarrow \text{Div}(\Gamma_{\mathbb{Q}}) := \bigoplus_{x \in \Gamma_{\mathbb{Q}}} \mathbb{Z}[x].$$

**Theorem (Specialization Lemma, Baker, Amini-Caporaso)**

*For any  $\tilde{D} \in \text{Div}(X_{\overline{\mathbb{K}}})$ , put  $D := \tau_*(\tilde{D}) \in \text{Div}(\Gamma_{\mathbb{Q}})$ . Then*

$$r_{\overline{\Gamma}}(D) \geq r_X(\tilde{D}).$$

(Here, let  $\Gamma^\bullet$  be the metric graph obtained by adding  $w(v)$  loops (of any length) at  $v$  to  $\Gamma$ . Then  $r_{\overline{\Gamma}}(D) = r_{\Gamma^\bullet}(D)$ .)



## Part 2 A question

- $R$ : a complete discrete valuation ring (cDVR)  
 with  $\text{Frac}(R) = \mathbb{K}$  and algebraically closed residue field  $k$
- $\bar{G} = (G, w)$ : a vertex-weighted graph
- $\bar{\Gamma} = (\Gamma, w)$ : associated metric graph of  $\bar{G}$

**Question**

Does there exist a regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$  with reduction graph  $\bar{G}$  satisfying the following condition (C)?

$$\forall D \in \text{Div}(\Gamma_{\mathbb{Q}}), \exists \tilde{D} \in \text{Div}(X_{\bar{\mathbb{K}}}) \text{ with } D = \tau_*(\tilde{D}) \text{ s.t.}$$

$$r_{\bar{\Gamma}}(D) = r_X(\tilde{D}).$$

$R$ : a cDVR,  $\bar{G} = (G, w)$ : a vertex-weighted graph as before.

### Observation

- If such  $\mathcal{X}$  exists, then the Riemann–Roch formula for  $\bar{G}$  is deduced from the Riemann–Roch formula for  $X(:= \mathcal{X}_{\mathbb{K}})$ . (This is our original motivation to consider the question.)
- If such  $\mathcal{X}$  exists, then

$$\tau_* (W_d^r(X_{\mathbb{K}})) = W_d^r(\bar{\Gamma}_{\mathbb{Q}})$$

where  $W_d^r(X_{\mathbb{K}}) := \{\tilde{D} \in \text{Pic}(X_{\mathbb{K}}) \mid \deg(\tilde{D}) = d, r_X(\tilde{D}) \geq r\}$ , and  $W_d^r(\bar{\Gamma}_{\mathbb{Q}}) = \{D \in \text{Pic}(\bar{\Gamma}_{\mathbb{Q}}) \mid \deg(D) = d, r_{\bar{\Gamma}}(D) \geq r\}$ .

- The question is related to the [algebraic rank](#) (cf. [Len's talk](#)).

## Observation (continued)

- From [Jensen](#)'s talk on Monday (if I understand correctly):

$\Gamma$ : a [generic](#) rational metric graph of  $g$ -loops

$\mathcal{X}$ : [any](#) regular, generically smooth, [strongly](#) semi-stable  $R$ -curve with reduction graph  $\Gamma$

Then [Cartwright–Jensen–Payne](#) show

$\forall D \in \text{Div}(\Gamma_{\mathbb{Q}}), \exists \tilde{D} \in \text{Div}(X_{\mathbb{K}})$  with  $D = \tau_*(\tilde{D})$  s.t.

$$r_{\Gamma}(D) = r_X(\tilde{D}).$$

- From [Cartwright](#)'s talk on Monday (if I understand correctly):  
Given  $\bar{\Gamma}$  and an effective divisor  $D \in \text{Div}(\Gamma_{\mathbb{Q}})$ , [Cartwright](#) studies if there exist  $\mathcal{X}$  and an effective divisor  $\tilde{D} \in \text{Div}(X_{\mathbb{K}})$  with  $D = \tau_*(\tilde{D})$  and  $r_{\Gamma}(D) = r_X(\tilde{D})$ .

## Part 3 Hyperelliptic case and genus 3 case

$\bar{G} = (G, w)$ : a vertex-weighted graph

$\bar{\Gamma} = (\Gamma, w)$ : associated metric graph of  $\bar{G}$

Definition (genus)

$$g(G) = g(\Gamma) := |E(G)| - |V(G)| + 1$$

$$g(\bar{G}) = g(\bar{\Gamma}) := g(G) + \sum_{v \in V(G)} w(v)$$

Definition (hyperelliptic vertex-weighted graph)

$\bar{G}$  is hyperelliptic  $\iff$

$$\exists D \in \text{Div}(G) \text{ s.t. } \deg(D) = 2 \text{ and } r_{\bar{\Gamma}}(D) = 1.$$

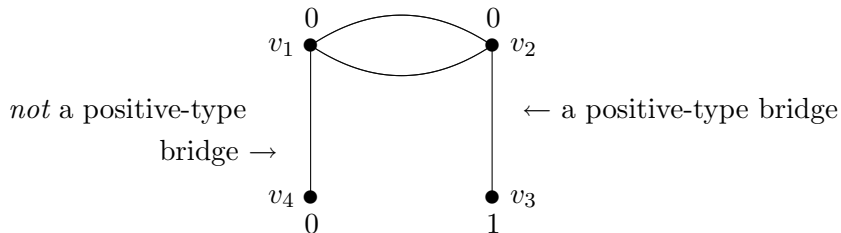
## Definition (positive-type bridge)

$\bar{G} = (G, w)$ : a vertex-weighted graph

An edge  $e$  of  $G$  is a **bridge**  $\iff G \setminus \{e\} = \exists G_1 \amalg \exists G_2$

A bridge  $e$  is **of positive type**  $\iff g(\bar{G}_1) \geq 1$  and  $g(\bar{G}_2) \geq 1$ ,  
 where  $\bar{G}_i = (G_i, w|_{G_i})$ .

## Example



## Main results

### Theorem (hyperelliptic case)

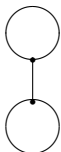
$R$ :  $cDVR$  with algebraically closed residue field  $k$

$\bar{G} = (G, w)$ : a *hyperelliptic* vertex-weighted graph

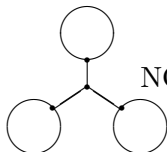
Assume that  $\text{ch}(k) \neq 2$ . Then the following are equivalent.

- (i) There exists a regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$  with reduction graph  $\bar{G}$  satisfying the condition (C) (i.e. the answer to the question is YES for  $\bar{G}$ ).
- (ii) For every vertex  $v$  of  $G$ , there are at most  $(2w(v) + 2)$  *positive-type bridges* emanating from  $v$ .

### Example



YES



NO

## Theorem (genus 3 case)

$R$ :  $cDVR$  with algebraically closed residue field  $k$

$\bar{G} = (G, w)$ : a vertex-weighted non-hyperelliptic graph of *genus 3*

$\mathcal{X}$ : *any* regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$   
with reduction graph  $\bar{G}$

Then  $\mathcal{X}$  satisfies the condition (C)

(in particular, the answer to the question is YES for  $\bar{G}$ ).

## Remark

For any vertex-weighted graph  $\bar{G}$  of genus 0 or 1, the answer to the question is YES for  $\bar{G}$ . (Note that genus 2 graphs are hyperelliptic.)

## Outline of proof of Theorem

We concentrate on the [hyperelliptic](#) case.

- ① Construction of  $\mathcal{X}$  ([Caporaso](#), [Amini–Baker–Brugallé–Rabinoff](#), [K-Yamaki](#))
- ② Computation of rank of reduced divisors on a hyperelliptic graph
- ③ Lifting of divisors

### Remark

- In the above proof, we use Baker's specialization lemma. Strictly speaking, we don't use Baker–Norine's Riemann–Roch formula, but we do use very much the notion of  $v$ -reduced divisors.
- The proof of Theorem (genus 3 case), we use Amini–Caporaso's specialization lemma and Baker–Norine's Riemann–Roch formula.



## Step 0: Some properties of hyperelliptic graphs

Hyperelliptic graphs have been studied by Baker–Norine, Chan, Caporaso ...

- $\bar{G} = (G, w)$ : a vertex-weighted graph with  $g(\bar{G}) \geq 2$   
Assume that any vertex  $v \in V(G)$  of valence 1 satisfies  $w(v) > 0$ .

$\Gamma$ : associated metric graph of  $G$

$\Gamma^\bullet$ : metric graph obtained by adding  $w(v)$  loops at  $\forall v$  to  $\Gamma$ .

Then the following are equivalent:

- (i)  $\bar{G}$  is hyperelliptic;
- (ii) there exists a unique involution  $\iota$  on  $\Gamma^\bullet$  such that  $\Gamma^\bullet/\iota$  is a tree.
- $\bar{G} = (G, w)$ : a **hyperelliptic** vertex-weighted graph  
 $\bar{\Gamma} = (\Gamma, w)$ : associated metric graph of  $\bar{G}$   
 $\Gamma'$ : the metric graph that is obtained by contracting all edges with endpoint  $v \in V(G)$  of valence 1 and  $w(v) = 0$ . We say  $v_0 \in \Gamma'_\mathbb{Q} \subseteq \Gamma_\mathbb{Q}$  is a **fixed** point if  $\iota(v_0) = v_0$ . (Such  $v_0$  always exists.)

## Step 1: Construction of $\mathcal{X}$

Theorem (Caporaso, Amini–Baker–Brugallé–Rabinoff, K-Yamaki)

$R$ :  $cDVR$  with fractional field  $\mathbb{K}$  and residue field  $k$ ,  $\text{ch}(k) \neq 2$

$\bar{G} = (G, w)$ : *hyperelliptic vertex-weighted graph*

Assume that any vertex  $v \in V(G)$  of valence 1 satisfies  $w(v) > 0$ .

Then the following are equivalent.

- (i) For every vertex  $v$  of  $G$ , there are at most  $(2w(v) + 2)$  *positive-type bridges* emanating from  $v$ .
- (ii) There exists a *hyperelliptic nodal curve*  $X_0$  over  $k$  with dual graph  $\bar{G}$ .
- (iii) There exists a regular, generically smooth, semi-stable *hyperelliptic  $R$ -curve*  $\mathcal{X}$  with reduction graph  $\bar{G}$ .

## Remark

- The equivalence between (i) (graph with  $2w(v) + 2$  condition) and (ii) (existence of hyperelliptic nodal curve  $X_0$ ) is due to [Caporaso](#).
- A version of Theorem (where  $\mathbb{K}$  is not a discrete valuation field, but an algebraically closed valuation field) is shown by [Amini–Baker–Brugallé–Rabinoff](#).
- The equivalence between (ii) (existence of hyperelliptic nodal curve  $X_0$ ) and (iii) (existence of hyperelliptic  $R$ -curve) is shown by a deformation argument.

## Corollary

$R$ :  $cDVR$  with fractional field  $\mathbb{K}$  and residue field  $k$ ,  $\text{ch}(k) \neq 2$

$\bar{G} = (G, w)$ : *hyperelliptic vertex-weighted graph*

Then the following are equivalent.

- (i) For every vertex  $v$  of  $G$ , there are at most  $(2w(v) + 2)$  *positive-type bridges* emanating from  $v$ .
- (ii) There exists a regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$  with reduction graph  $\bar{G}$  such that the generic fiber  $X$  is *hyperelliptic*.

We are going to show that this  $\mathcal{X}$  has the desired property.

## Step 2: Computation of rank of reduced divisors on a hyperelliptic graph

$\bar{G} = (G, w)$ : a hyperelliptic vertex-weighted graph

$\bar{\Gamma} = (\Gamma, w)$ : associated metric graph of  $\bar{G}$

$v_0 \in \Gamma_{\mathbb{Q}}$ : a fixed point

### Theorem (rank on hyperelliptic vertex-weighted graph)

For any  $v_0$ -reduced effective divisor  $E \in \text{Div}(\Gamma)$ ,

we put  $r = \lfloor \frac{E(v_0)}{2} \rfloor$ , where  $E(v_0)$  is the coefficient of  $E$  at  $v_0$ . Then

$$r_{\bar{\Gamma}}(E) = \begin{cases} r & (\text{if } \deg(E) - r \leq g(\bar{\Gamma})) \\ \deg(E) - g(\bar{\Gamma}) & (\text{if } \deg(E) - r \geq g(\bar{\Gamma}) + 1) \end{cases}$$

There is a corresponding formula on rank on a hyperelliptic curve.

### Proposition (rank on hyperelliptic curve)

$X$ : a hyperelliptic curve over  $\mathbb{K}$  (smooth, connected)

$\iota_X$ : hyperelliptic involution on  $X$

For any effective divisor  $\tilde{E} \in \text{Div}(X_{\bar{\mathbb{K}}})$ , we express  $\tilde{E}$  as

$$\tilde{E} = P_1 + \cdots + P_r + \iota_X(P_1) + \cdots + \iota_X(P_r) + Q_1 + \cdots + Q_s,$$

where  $P_1, \dots, P_r, Q_1, \dots, Q_s \in X(\bar{\mathbb{K}})$  and  $\iota_X(Q_i) \neq Q_j$  for any  $i \neq j$  with  $1 \leq i, j \leq s$ . Then

$$r_X(\tilde{E}) = \begin{cases} r & (\text{if } \deg(\tilde{E}) - r \leq g(X)), \\ \deg(\tilde{E}) - g(X) & (\text{if } \deg(\tilde{E}) - r \geq g(X) + 1). \end{cases}$$

Comparison of rank on a hyperelliptic vertex-weighted graph and rank on hyperelliptic curve, and Baker's specialization lemma imply the following:

$\bar{G} = (G, w)$ : a **hyperelliptic** vertex-weighted graph

$\mathcal{X}$ : regular, generically smooth, semi-stable  $R$ -curve with reduction graph  $\bar{G}$  with **hyperelliptic** generic fiber  $X$

If  $E \in \text{Div}(\Gamma_{\mathbb{Q}})$  is a  **$v_0$ -reduced** effective divisor, then there exists  $\tilde{E} \in \text{Div}(X_{\mathbb{K}})$  with  $\tau_*(\tilde{E}) = E$  s.t.

$$r_{\bar{\Gamma}}(E) = r_X(\tilde{E}).$$

### Step 3: Lifting of divisors

$\bar{G} = (G, w)$ : a **hyperelliptic** vertex-weighted graph with metric graph  $\Gamma$

$\mathcal{X}$ : a regular, generically smooth, semi-stable  $R$ -curve with reduction graph  $\bar{G}$  with **hyperelliptic** generic fiber  $X$

We want to show:

$$\forall D \in \text{Div}(\Gamma_{\mathbb{Q}}), \exists \tilde{D} \in \text{Div}(X_{\bar{\mathbb{K}}}) \text{ with } D = \tau_*(\tilde{D}) \text{ s.t. } r_{\Gamma}(D) = r_X(\tilde{D}).$$

Have shown: OK if  $D$  is  $v_0$ -reduced.

In general, for  $D \in \text{Div}(\Gamma_{\mathbb{Q}})$ , let  $E \in \text{Div}(\Gamma_{\mathbb{Q}})$  be the  $v_0$ -reduced divisor such that  $N := D - E \in \text{Prin}(\Gamma_{\mathbb{Q}})$ . Let  $\tilde{E} \in \text{Div}(X_{\bar{\mathbb{K}}})$  be a desired lift.

Since  $\tau_* : \text{Prin}(X_{\bar{\mathbb{K}}}) \rightarrow \text{Prin}(\Gamma_{\mathbb{Q}})$  is surjective, there exists

$\tilde{N} \in \text{Prin}(X_{\bar{\mathbb{K}}})$  such that  $\tau_*(\tilde{N}) = N$ . We put  $\tilde{D} := \tilde{E} + \tilde{N} \in \text{Div}(X_{\bar{\mathbb{K}}})$ .

Then  $\tilde{D}$  is a desired lift.  $\square$



## Part 4 Relation to the algebraic rank

- $k$ : an algebraically closed field  
 $\bar{G} = (G, w)$ : a vertex-weighted graph with associated metric graph  $\Gamma$   
 $D \in \text{Div}(G)$

As in [Len's](#) talk,

**Definition** (algebraic rank, Caporaso)

$$r_{\bar{G}}^{\text{alg},k}(D) := \max_{X_0} \left\{ \min_E \left\{ \max_{\mathcal{E}_0} r_{X_0}(\mathcal{E}_0) \right\} \right\},$$

where  $X_0$  runs through all connected reduced nodal curves over  $k$  with dual graph  $\bar{G}$ ,  $E \in \text{Div}(G)$  runs through all divisors with  $E \sim D$ , and  $\mathcal{E}_0 \in \text{Div}(X_0)$  runs through all Cartier divisors on  $X_0$  with  $\deg(\mathcal{E}_0|_{C_v}) = E(v)$  for any  $v \in V(G)$ .

## Result

### Theorem (algebraic rank for hyperelliptic or genus 3 graphs)

Assume one of the following.

- (i)  $\bar{G}$  is hyperelliptic and  $\text{ch}(k) \neq 2$ ;
- (ii)  $\bar{G}$  is non-hyperelliptic and of genus 3.

Then, for any  $D \in \text{Div}(G)$ , we have  $r_{\bar{G}}^{\text{alg},k}(D) \geq r_{\bar{G}}(D)$ .

### Remark

- Here  $r_{\bar{G}}(D) := r_{\bar{\Gamma}}(D)$ , where  $\Gamma$  is the associated metric graph of  $G$ .
- Caporaso–Len–Melo prove that the other direction  $r_{\bar{G}}^{\text{alg},k}(D) \leq r_{\bar{G}}(D)$  holds for any vertex-weighted graph  $\bar{G}$  and  $D \in \text{Div}(G)$ . Thus for a hyperelliptic or a genus 3 graph, the equality  $r_{\bar{G}}^{\text{alg},k}(D) = r_{\bar{G}}(D)$  holds.

## Remark (continued)

- Caporaso–Len–Melo give many other examples of graphs with the equality  $r_{\bar{G}}^{\text{alg},k}(D) = r_{\bar{G}}(D)$ .
- They also show that there exist a graph  $\bar{G}$  and a divisor  $D \in \text{Div}(G)$  such that  $r_{\bar{G}}^{\text{alg},k}(D) < r_{\bar{G}}(D)$ .

## Outline of the proof of Theorem

- ① A variant of the question on lifting of divisors
- ② Relation between lifting divisors and algebraic rank
- ③ Decomposition of graphs with bridges

**Step 1: A variant of the question**

$R$ : cDVR with  $\text{Frac}(R) = \mathbb{K}$  and residue field  $k$

$\bar{G} = (G, w)$ : a vertex-weighted graph

**Question (a variant)**

Does there exist a regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$  with reduction graph  $\bar{G}$  satisfying the following condition (F)?

$$\forall D \in \text{Div}(G), \exists \tilde{D} \in \text{Div}(X) \text{ with } D = \rho_*(\tilde{D}) \text{ s.t.}$$

$$r_{\bar{G}}(D) = r_X(\tilde{D}).$$

Here,  $\rho_* : \text{Div}(X) \rightarrow \text{Div}(G)$  is the specialization map.

(Comparison to the original question:  $D$  in  $\text{Div}(G)$  not in  $\text{Div}(\Gamma_{\mathbb{Q}})$ ;  $\tilde{D}$  in  $\text{Div}(X)$  not in  $\text{Div}(X_{\bar{\mathbb{K}}})$ ; and the specialization map is  $\rho_*$  not  $\tau_* : \text{Div}(X_{\bar{\mathbb{K}}}) \rightarrow \text{Div}(\Gamma_{\mathbb{Q}})$ .)

## Step 2: Relation between Question (a variant) and algebraic rank

Caporaso kindly told us relation between Question (a variant) and algebraic rank as follows.

### Proposition

$R$ : cDVR with  $\text{Frac}(R) = \mathbb{K}$  and residue field  $k$

$\bar{G} = (G, w)$ : a vertex-weighted graph

$\mathcal{X}$ : a regular, generically smooth, semi-stable  $R$ -curve with reduction graph  $\bar{G}$  with generic fiber  $X$

Assume that  $\mathcal{X}$  satisfies the condition (F).

Then, for any divisor  $D \in \text{Div}(G)$ , we have

$$r_{\bar{G}}^{\text{alg}, k}(D) \geq r_{\bar{G}}(D).$$

## Proof (Caporaso)

Recall

$$r_{\bar{G}}^{\text{alg},k}(D) := \max_{X_0} \left\{ \min_E \left\{ \max_{\mathcal{E}_0} r_{X_0}(\mathcal{E}_0) \right\} \right\}.$$

If such  $\mathcal{X}$  exists, we let  $X_0 = \mathcal{X}_k$ .

For any  $E \in \text{Div}(G)$  with  $D \sim E$ , we take a lift  $\tilde{E} \in \text{Div}(X)$  preserving the rank. Let  $\mathcal{E}$  be the Zariski closure of  $\tilde{E}$  in  $\mathcal{X}$ , and we put  $\mathcal{E}_0 := \mathcal{E}|_{X_0}$ . Then

$$r_{\bar{G}}^{\text{alg},k}(D) \geq r_{X_0}(\mathcal{E}_0) \stackrel{(*)}{\geq} r_X(\tilde{E}) \stackrel{(**)}{=} r_{\bar{G}}(E) = r_{\bar{G}}(D),$$

where (\*) is deduced from the upper-semicontinuity of the cohomology, and (\*\*) is the condition (F).  $\square$

$R$ : cDVR with  $\text{Frac}(R) = \mathbb{K}$  and residue field  $k$

$\bar{G} = (G, w)$ : a vertex-weighted graph

As in the original question, we have the following theorem.

### Theorem

*Assume one of the following.*

- (i)  $\bar{G}$  is hyperelliptic and  $\text{ch}(k) \neq 2$ ; Further, for each  $v \in V(G)$ , there are at most  $(2w(v) + 2)$  positive-type bridges emanating from  $v$ .
- (ii)  $\bar{G}$  is non-hyperelliptic and of genus 3.

*Then there exists a regular, generically smooth, semi-stable  $R$ -curve  $\mathcal{X}$  with reduction graph  $\bar{G}$  satisfying the condition (F) (i.e. the answer to Question (a variant) is YES.)*

Thus we have, for any  $D \in \text{Div}(G)$ ,

$$r_{\bar{G}}^{\text{alg},k}(D) \geq r_{\bar{G}}(D)$$

provided that  $\bar{G}$  is one of the following.

- (i)  $\bar{G}$  is hyperelliptic and  $\text{ch}(k) \neq 2$ ; Further, for each  $v \in V(G)$ , there are at most  $(2w(v) + 2)$  positive-type bridges emanating from  $v$ .
- (ii)  $\bar{G}$  is non-hyperelliptic and of genus 3.

We want to delete the  $(2w(v) + 2)$  condition for the hyperelliptic case.



**Step 3: Decomposition of graphs with bridges**

$\bar{G} = (G, w)$ : a vertex-weighted graph having a bridge  $e$   
with endpoints  $v_1, v_2$

$G_i$  ( $i = 1, 2$ ): connected components of  $G \setminus \{e\}$  with  $v_i \in V(G_i)$

$\bar{G}_i := (G_i, w|_{V(G_i)})$

**Proposition**

For any  $D \in \text{Div}(G)$ , let  $D_i \in \text{Div}(G_i)$  be the restriction of  $D$  to  $G_i$ .

Then

$$r_{\bar{G}}(D) \leq \begin{cases} r_{\bar{G}_1}(D_1) + r_{\bar{G}_2}(D_2) + 1 & (\text{if } v_i \in \text{Bs}(|D_i|) \text{ for } \forall i = 1, 2), \\ r_{\bar{G}_1}(D_1) + r_{\bar{G}_2}(D_2) & (\text{otherwise}). \end{cases}$$

**Remark**

If  $\bar{G}$  is hyperelliptic, then  $\bar{G}_i$  is hyperelliptic (or of genus 0 or 1).

There is a corresponding formula on nodal curves.

### Lemma

Let  $X$  be a nodal curve. We assume that  $X$  has a decomposition as  $X = X_1 \cup X_2$  into two nodal curves so that  $X_1$  and  $X_2$  meet at exactly one point  $p$ . Let  $\tilde{D}$  be a Cartier divisor on  $X$ , and we set  $\tilde{D}_i = \tilde{D}|_{X_i} \in \text{Div}(X_i)$  for  $i = 1, 2$ . Then

$$r_X(\tilde{D}) = \begin{cases} r_{X_1}(\tilde{D}_1) + r_{X_2}(\tilde{D}_2) + 1 & (\text{if } p \in \text{Bs}(|\tilde{D}_i|) \text{ for } \forall i = 1, 2), \\ r_{X_1}(\tilde{D}_1) + r_{X_2}(\tilde{D}_2) & (\text{otherwise}). \end{cases}$$

Combining Proposition and Lemma, we obtain  $r_{\bar{G}}^{\text{alg},k}(D) \geq r_{\bar{G}}(D)$  for **any** hyperelliptic graph  $\bar{G}$  (without the  $(2w(v) + 2)$  condition).  $\square$