

# SINGULAR CARDINAL COMBINATORICS

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From May 1 to May 6, 2004 24 set theorists met at the Banff International Research Station to discuss Singular Cardinal Combinatorics. Descriptions of the contents of their talks will be published in a Proceedings that will appear in the Notre Dame Journal of Symbolic Logic.

During the workshop, several important new results were announced and explained, and there were problem sessions held (some with significant amounts of prize money attached to particular problems, see the last section for details). To summarize the direction of the conference we will present here an annotated collection of representative problems with some references. Where the problems were novel, attribution is attempted and it is noted where there is money attached to particular problems.

Three closely related themes dominated the discussion: stationary sets and stationary set reflection, variations of square and approachability and the singular cardinals hypothesis. Underlying most of the discussion were ideas from Shelah's PCF theory. Important subthemes were mutual stationarity, Aronszajn trees and superatomic Boolean Algebras.

## 1 The Singular Cardinals Hypothesis and Hilbert's First Problem

In 1871, Cantor showed that for every cardinal  $\kappa$  the cardinality of the collection of subsets of  $\kappa$  (which we call  $2^\kappa$ ) is at least the cardinal successor of  $\kappa$  (which we call  $\kappa^+$ ). For infinite cardinals, it is independent of the usual assumptions of mathematics (the axioms "ZFC") whether  $2^\kappa = \kappa^+$ . Indeed the question of whether cardinality of all subsets of the natural numbers is equal to the first uncountable cardinal was the first problem on the famous list of problems presented by Hilbert at the 1900 International Congress of Mathematics. Partial information on this question is given by *Konig's Theorem* which says that the cofinality of  $2^\kappa$  is at least  $\kappa^+$ .

Godel showed that in the Constructible Universe  $L$ , the *Generalized Continuum Hypothesis* holds; namely for all infinite cardinals  $\kappa$ ,  $2^\kappa = \kappa^+$ . For regular cardinals Konig's theorem is all one can say: it is a theorem of Easton that if  $V \models GCH$  then for all monotone functions  $f : OR \rightarrow OR$  such that  $f(\alpha) \geq \alpha$  and  $cf(\aleph_{f(\alpha)}) > \aleph_\alpha$  there is a generic extension of  $V$  where  $2^{\aleph_\alpha} = \aleph_{f(\alpha)}$  for all  $\alpha$  where  $\aleph_\alpha$  is regular.

At singular cardinals the situation turns out to be quite different. Silver proved that if  $\lambda$  is a singular cardinal of uncountable cofinality and for a stationary collection of  $\kappa < \lambda$ ,  $2^\kappa = \kappa^+$  then  $2^\lambda = \lambda^+$ . ([13]) This was improved by Galvin and Hajnal to get general bounds on the power of a singular cardinal of uncountable cofinality in terms of the behaviour of the power of smaller singular cardinals ([7]). At the conference, Gitik announced recent results along this line, that are summarized in his paper for the proceedings.

This left the problem of cardinals with countable cofinality quite open. Magidor ([9]) showed that Silver's theorem is false for cardinals of countable cofinality: assuming large cardinals it is consistent for  $2^{\aleph_\omega} > \aleph_{\omega+1}$  with the GCH holding below  $\aleph_\omega$ . After this result it was generally thought that the behaviour of the power of singular cardinals of cofinality  $\omega$  was as arbitrary as that of regular cardinals.

However in the late 1980's S. Shelah proved a series of results getting cardinal bounds on the behaviour of the power function at singular cardinals by studying reduced products of cardinals below the singular cardinal. This ultimately led to a powerful general tool, known as PCF theory ([12]). This theory has had many applications outside the study of cardinal arithmetic, constructing examples of Jonsson algebras on successor of singular cardinals, and providing interesting examples in set theoretic topology and algebra.

## 1.1 PCF Theory Problems

We will say that a set  $A$  is an *interval of regular cardinals* if it is the intersection of an interval of cardinals with the regular cardinals.  $A$  will be called *progressive* iff  $|A| < \min(A)$ . If  $A$  is a set of regular cardinals then  $PCF(A)$  is defined to be:

$$\{ \text{cof}(\prod A/D) : D \text{ is an ultrafilter on } A \}.$$

Shelah showed that if  $A$  is a progressive interval of regular cardinals with supremum  $\lambda$  then

$$\text{cf}(\langle [\lambda]^{<|A|^+}, \subset \rangle) = \max PCF(A).$$

In particular  $\max PCF(A)$  always exists. As an immediate corollary one sees that if  $|A| < \kappa < \lambda$  and  $\kappa$  is regular then

$$[\lambda]^\kappa = 2^\kappa \times \max PCF(A).$$

In particular, if  $\lambda$  is a singular strong limit cardinal of cofinality  $\kappa$  that is not a cardinal fixed point then  $2^\lambda = 2^\kappa \times \max PCF(A)$ .

It remains to bound the cardinality of  $PCF(A)$ . Shelah did this by proving the remarkable theorem that if  $A$  is a progressive interval of cardinals then

$$(\dagger) \quad |PCF(A)| \leq |A|^{+3}.$$

Putting these results together we get the following corollary:

**Theorem**(Shelah) Suppose that  $\lambda$  is a singular cardinal of cofinality  $\kappa$  and is not a cardinal fixed point. Then

$$2^\lambda < \max((2^\kappa)^+, \aleph_{\kappa+4}(\lambda)).$$

In particular if  $\aleph_\omega$  is a strong limit then  $2^{\aleph_\omega} < \aleph_{\omega_4}$ .

Despite significant progress by Gitik, Shelah, Woodin and others, it is not known if these bounds are optimal. Our first questions relate to this:

**Question 1** Is it consistent to have a progressive set  $A$  such that  $|PCF(A)| > |A|$ ?

**Question 2** Is it consistent that

$$\max PCF\{\aleph_n : 1 \leq n < \omega\} > \aleph_{\omega_1}?$$

**Question 3** Is it possible that

$$\{\kappa < \lambda : \max PCF(\kappa) \geq \lambda\}$$

be uncountable?

**Question 4** Is it possible that

$$\{\kappa : \text{cf}(\kappa) > \omega \text{ and } \max PCF(\kappa) \geq \lambda\}$$

be infinite?

The assumption that the answers to questions 3 and 4 are “no” is known as the Shelah *weak hypothesis*.

(These questions are well known, but relayed to the author by M. Gitik.)

## 1.2 PCF Structures

There are several collections of axioms that have been proposed to capture the essence of PCF theory. Indeed Shelah's original bound ( $\dagger$ ) was proved by summarizing results about the behaviour of real PCF structures and showing that any structure satisfying his summary had to have small cardinality.

Jech ([8]) found a very weak collection of axioms that suffice to prove Shelah's bound. Here our intention is different. We want to find as strong a collection of axioms as possible and see if they can prove a better bound.

This project then has two directions: the first is to establish whether a better bound on the size of PCF structures can be proved. The second is to find a "complete" axiomatization of PCF structures. We will use here an axiomatization due to Magidor (with aid from Foreman). It appeared in print in the Ph.D. thesis of John Ruyle (1998).

### 1.2.1 The PCF topology

Inherent in the axiomatization is the PCF topology. The operation  $A \mapsto PCF(A)$  is a closure operator and hence there is a natural topology associated with the PCF operation. For simplicity we will restrict ourselves to progressive sets  $A$  of regular cardinals that have no limit points that are cardinal fixed points.

Explicitly:  $A \subset PCF(A)$  and for all  $B, C \subset PCF(A)$ ,

1. If  $B \subset C$  then  $PCF(B) \subset PCF(C)$
2.  $PCF(B \cup C) = PCF(B) \cup PCF(C)$ .
3.  $PCF(PCF(B)) = PCF(B)$ .

The PCF topology is compact Hausdorff, 0-dimensional and scattered. Via Stone duality there is a direct connection between locally compact Hausdorff, 0-dimensional, scattered spaces and superatomic Boolean Algebras. Namely given such a space  $X$ , the regular open sets form a superatomic Boolean algebra whose Stone space is the original space  $X$ .

To review:

Let  $B$  be a Boolean Algebra. Define a transfinite sequence of ideals in  $B$  by setting:

- $J_0$  to be the ideal generated by the atoms of  $B$
- $J_{\alpha+1}$  the ideal generated by the atoms of  $B/J_\alpha$  and  $J_\alpha$
- for limit  $\alpha$ ,  $J_\alpha = \bigcup_{\beta < \alpha} J_\beta$ .

$B$  is *superatomic* iff whenever  $J_\alpha$  is a proper ideal,  $B/J_\alpha$  is atomic. (We will use the jargon "SBA" for superatomic Boolean algebra.)

If one traces through the proof of Stone duality, it is immediate that the atoms of  $B/J_\alpha$  correspond canonically with the isolated points in the  $\alpha^{th}$  Cantor-Bendixson derivative of the Stone space of  $B$ .

We now give some more definitions necessary to formulate the PCF axioms:

1. The *height* of  $B$  is the least  $\alpha$ ,  $J_\alpha = B$ .
2. The *rank* of  $b \in B$  is the least  $\alpha$ ,  $b \in J_\alpha$ .
3.  $c_\alpha$  is defined to be the cardinality of  $\{b \in B : \text{rank of } b = \alpha\}$ .
4. The *cardinal sequence* of  $B$  is  $\langle c_\alpha : \alpha < \text{height of } B \rangle$ .

There is a standard mechanism for building SBA's involving well-founded partial orderings. Let  $<^*$  be a well-founded partial ordering on a set  $T$ . For  $t \in T$ , let  $b_t = \{s : s <^* t\}$ .

An *SBA ordering* will be a pair  $(<^*, i)$  such that  $<^*$  is a well-founded ordering on a set  $T$  and

$$i : [\theta]^2 \rightarrow [\theta]^{<\omega}$$

is such that

1. for all  $s, t, i(s, t)$  is a minimal set such that;

$$b_s \cap b_t = \bigcup_{u \in i(s,t)} b_u$$

(so if  $i(s, t) = \{u_0, \dots, u_n\}$  then

$$b_s \cap b_t = b_{u_0} \cup \dots \cup b_{u_n}.)$$

2. For all  $t \in T, \alpha$  less than the  $<^*$ -rank of  $t$ ,

$$b_t \cap \{s : \text{rank}(s) = \alpha\}$$

is infinite.

Other authors call SBA orderings “*selectors*” or “*admissible partial orderings*”. Given an SBA ordering on a set  $T$  we can topologize  $T$  by taking basic open sets to be of the form:

$$b_t \setminus (b_{u_0} \cup b_{u_1} \cup \dots \cup b_{u_n}).$$

The following proposition is standard:

**Proposition** Let  $(<^*, i)$  be an SBA ordering on a set  $T$  and endow  $T$  with the topology above. Then:

1.  $T$  is locally compact, Hausdorff, 0-dimensional and scattered.
2.  $T \subset b_{u_0} \cup b_{u_1} \dots \cup b_{u_n}$ , for some  $u_i$ 's then  $T$  is compact.
3. The  $\alpha^{\text{th}}$  Cantor-Bendixson derivative of  $T$  is  $\{t : \text{the } <^*\text{-rank of } t \text{ is at least } \alpha\}$ .
4. The algebra of clopen subsets of  $T$  is an SBA with cardinal sequence

$$c_\alpha = |\{t : \text{the rank of } t = \alpha\}|.$$

We are now in a position to give the PCF axioms:

**Definition** An  $\delta$ -PCF structure is an SBA partial ordering  $<^*$  on a successor ordinal  $\theta$  satisfying:

**PCF1**  $\nu <^* \mu$  implies  $\nu \in \mu$ .

**PCF2**  $\bar{\delta} = \theta$ .

**PCF3** If  $I \subset \theta$  is an interval, the  $\bar{I}$  is also an interval.

**PCF4** For each  $\nu < \theta$  of uncountable cofinality, there is a closed unbounded  $C_\nu \subset \nu$  such that  $\bar{C}_\nu \subset \nu + 1$ .

**PCF5**  $\theta$  is compact with the  $<^*$  topology.

The main point of the axioms is that the work of Shelah shows that the PCF axioms are true:

**Theorem** (Shelah, [12]) Let  $A$  be a progressive interval of regular cardinals of order type  $\delta$ . Then there is an ordering  $<^*$  on  $PCF(A)$  which makes  $PCF(A)$  into a PCF structure.

(Hint: To define  $<^*$ , find a “transitive” collection of generators  $\langle b_\alpha : \alpha < \max PCF(A) \rangle$  for the PCF ideals on  $PCF(A)$  and define  $\beta <^* \alpha$  iff  $\beta \in b_\alpha$ .)

We now are in a position to state the main open questions involving PCF structures.

**Question 5** Do the PCF axioms capture ALL of PCF theory? (PCF completeness)

**Question 6** What PCF structures consistently exist?

We need some more background to make these questions explicit:

Let  $(\theta, <^*)$  be a  $\delta$ -PCF structure. Let  $\langle c_\alpha : \alpha < ht(<^*) \rangle$  be the cardinal sequence of  $(\theta, <^*)$ . Then:

1. ( $|\delta|$ -tightness/localization) If  $A \subset \theta$  and  $\alpha \in \overline{A}$  then there is a  $B \in [A]^{|\delta|}$  such that  $\alpha \in \overline{B}$ . (In fact, using results of Todorćevic, if  $\delta = \omega$  the topology is “sequential”.)
2. If  $X$  is closed then  $\sup X \in X$ .
3. For  $\xi < ht(<^*)$ ,  $c_\xi \leq |\xi|$ .
4. If  $\theta = \kappa + 1$ , then there is a closed unbounded set of  $\xi < \kappa$  such that  $c_\xi \leq |\delta|$ .

These facts show a close connection between PCF structures and the literature about cardinal sequences for SBA's, especially those that have each  $c_\alpha = \omega$ . Using the work of Baumgartner and Shelah ([1]) and extending work of Velickovic, Ruyle proved that if  $\langle c_\alpha : \alpha < \omega_2 \rangle$  is a cardinal sequence with  $c_\alpha = \omega$  on a closed unbounded set, then there is a cardinal preserving forcing for adding an SBA on  $\omega_2 + 1$  with this cardinal sequence (and a little further). Moreover, if  $\langle c_\alpha : \alpha < \gamma < \omega_2 \rangle$  is a cardinal sequence where  $c_\alpha = \omega$  for  $\alpha < \omega_1$  and  $|c_\alpha| \leq \omega_1$ , then there is a PCF algebra of height  $\gamma + 1$  with this cardinal sequence.

**Question 7** Is it consistent that there is an  $\omega$ -PCF algebra of size  $\omega_3$ ? (If not, there is a better bound on  $2^{\aleph_\omega}$ .)

This requires some new SBA techniques as there are no known examples of SBA's of height  $\omega_3 + 1$  which have each countable level countable, and in which there are a closed unbounded collection of levels of cardinality  $\omega_2$  that are countable.

**Question 8** Is it consistent that there are  $\omega$ -PCF algebras of height  $\delta$  for all  $\delta < \omega_3$ ? What about  $\delta = \iota + 1$  where  $\iota$  is the first indecomposable ordinal above  $\omega_2$ ?

Question 8 may not require new SBA techniques, as Martinez, in work expositied at the workshop, has showed it consistent that there are thin SBA algebras of all heights less than  $\omega_3$ .

The question of “PCF completeness” is a little vaguer, and may involve all of the difficulties of the SCH itself. However here is a concrete version of the question that may be somewhat easier:

**Question 9** Assuming large cardinals, is it true that if  $\mathfrak{A}$  is a PCF structure then there is a forcing extension which produces a  $\kappa$  such that  $\mathfrak{A}$  is isomorphic to a closed subset of  $PCF(\kappa) \cap \{\text{regular cardinals}\}$ ?

This subset should be of the form  $PCF(A)$  where  $A$  is a progressive subset of the regular cardinals of  $\kappa$ .

We conclude with a problem of Todorćevic about PCF structures. Topological results of Todorćevic can be used to show that PCF structures are *sequential*. This leads to the question:

**Question 10** What is the *sequential rank* of  $PCF(\{\aleph_n : n > 1\})$ ?

In his talk, Martinez gave a collection of problems about the structure of SBA's that are not necessarily PCF algebras. These problems will appear in the proceedings of the conference.

## 2 Stationary set Reflection, variations of Square, Scales and Aronszajn trees

In 1989 Woodin and others asked whether the failure of the Singular Cardinals hypothesis at a cardinal  $\kappa$  of cofinality  $\omega$  implied the existence of an Aronszajn tree on  $\kappa^+$ . The existence of special Aronszajn trees was proved by Jensen in the 1970's to be equivalent to the existence of a weak square sequence, so Woodin's question seems closely related to questions about square sequences of various types. Investigations of square properties in inner models for large cardinals led to the isolation of certain square properties weaker than conventional square. ([11]) These turned out to have direct relations to previously known combinatorial properties such as weak square and very weak square ([5].) In this section we present some background and state some problems that remain open.

We begin first by motivating Woodin's question: As noted in the previous paragraph, Jensen showed that there is a special Aronszajn tree on  $\kappa^+$  iff  $\square_\kappa^*$  holds. Shelah showed that there are no Aronszajn trees on  $\kappa^+$  if  $\kappa$  is a limit of countably many strongly compact cardinals. Using this work, Magidor and Shelah ([10]) showed that if it is consistent that there is a 2-huge cardinal then it is consistent that there is no Aronszajn tree on  $\aleph_{\omega+1}$ .

Lacking any evidence to the contrary these results suggest that the failure of existence of Aronszajn trees on successors of cofinality  $\omega$  cardinals is tied to being a limit of strongly compact cardinals. Since results of Solovay ([14]) show that the SCH holds above a strongly compact cardinal Woodin's question seems quite natural. We list it in the following form:

**Question 11** If there are no Aronszajn trees on  $\aleph_{\omega+1}$  and  $\aleph_\omega$  is a strong limit, is it true that  $2^{\aleph_\omega} = \aleph_{\omega+1}$ ?

Cummings, Foreman and Magidor initiated a program of giving an affirmative answer to Woodin's question. The philosophy was to try to use PCF theory to construct Aronszajn trees. It has the following components:

1. Isolate PCF properties that are consequences of square.
2. Show that they imply the existence of A-trees
3. Show that they follow from the failure of SCH

Figure 1 is a summary of the results of this program. This diagram includes results from ([5],[4],[2],[3]) Some of the arrows and non-arrows in the diagram were the main contents of the series of talks given by Cummings and Magidor at the workshop.

Recent results of Gitik and Sharon deal a major blow to this program when they showed:

**Theorem** (Gitik, Sharon) From appropriate large cardinals follows the relative consistency of:

1.  $\lambda$  is singular strong limit of cofinality  $\omega$ ,  $2^\lambda > \lambda^+$  and the approachability property fails.
2. There is a singular strong limit cardinal  $\lambda$ , and  $\langle \lambda_i : i \in \omega \rangle$  cofinal in  $\lambda$  with  $PCF(\lambda_i : i \in \omega) = \{\lambda_i : i \in \omega\} \cup \{\lambda^+\}$  but no very good scale on  $\langle \lambda_i \rangle$  of length  $\lambda^+$ .
3.  $\lambda$  is a singular strong limit cardinal,  $2^\lambda > \lambda^+$  and every stationary subset of  $\lambda^+$  reflects.

In particular these results show that one cannot hope to prove (for example) that the failure of the SCH implies the approachability property or that there is a very good scale. Both of these latter propositions were viewed as candidates for a property intermediate between the failure of the SCH and the existence of Aronszajn trees.

There are some potential loopholes in the Gitik/Sharon results though. Their arguments can be improved to make  $\lambda$  into  $\aleph_{\omega,2}$ , but are not yet known to apply to  $\aleph_\omega$ . Thus, they may not be directly relevant to Question 11. There are examples of properties (such as the equivalence between

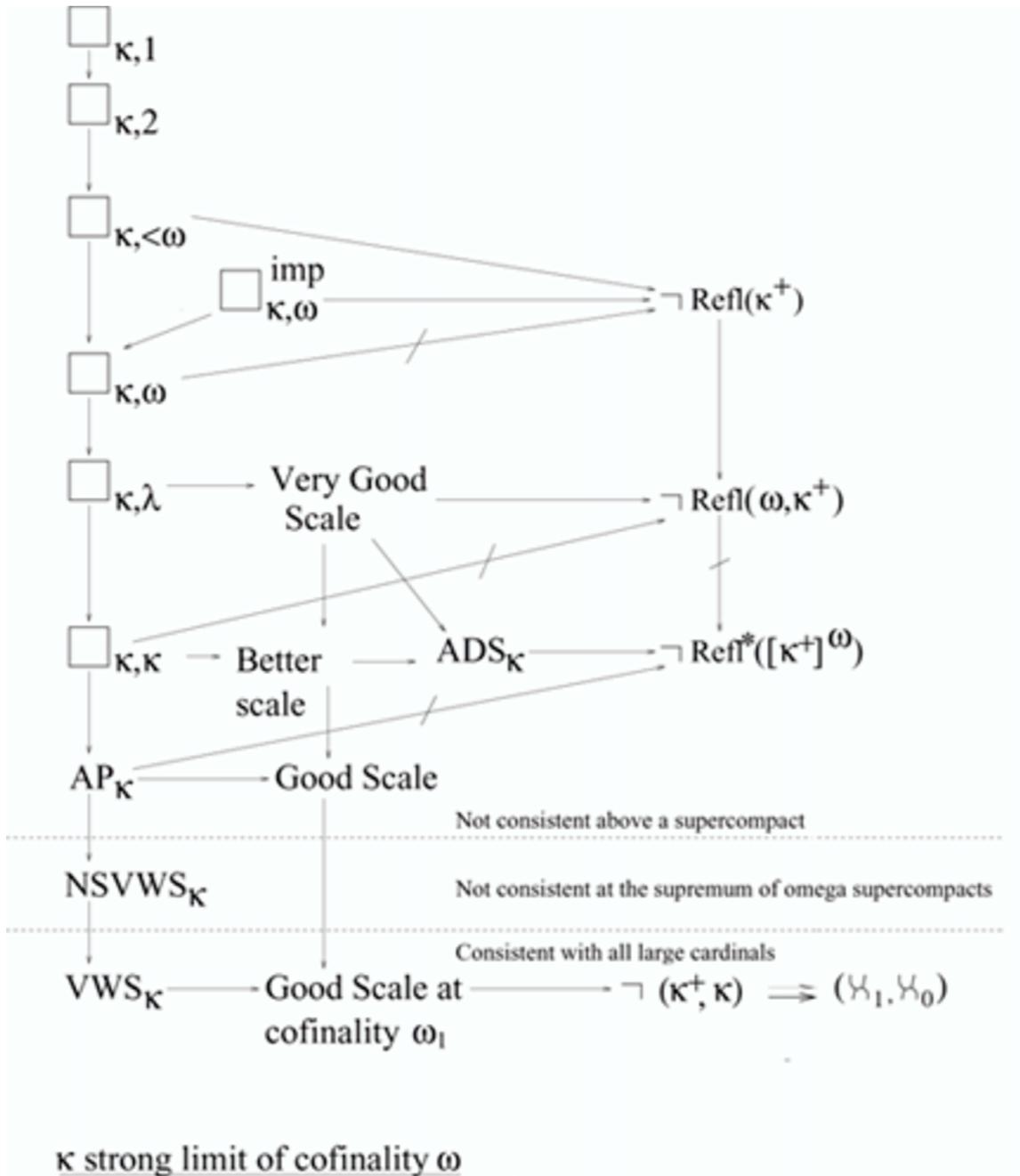


Figure 1: Squarelike consequences of PFA

the approachability property and Very Weak Square) that hold at  $\aleph_\omega$ , but not at  $\aleph_{\omega^2}$ . A very strong conjecture might be that the following question has an affirmative answer:

**Question 12** If  $2^{\aleph_\omega} > \aleph_{\omega+1}$ , then  $\square_{\aleph_\omega}^*$  holds.

Moreover, in the second result, the sequence  $\langle \lambda_i : i \in \omega \rangle$  is not the generator  $b_{\lambda^+}$ . In particular, the following remains open:

**Question 13** If  $\lambda$  has cofinality  $\omega$ , is it true that there is some sequence  $\langle \lambda_i : i \in \omega \rangle$  cofinal in  $\lambda$  which has a very good scale of length  $\lambda^+$ .

The problem of the relation between scale properties and Aronszajn trees seems interesting on its own merits. A typical question here might be:

**Question 14** If  $\lambda$  has cofinality  $\omega$  and there is some sequence  $\langle \lambda_i : i \in \omega \rangle$  cofinal in  $\lambda$  which has a very good scale of length  $\lambda^+$  is it necessarily true that there is an Aronszajn tree on  $\lambda^+$ ?

Affirmative answers to both questions 13 and 14 yield a solution to Woodin's question.

A variation of questions 13 and 14 is:

**Question 15** If  $\lambda^+$  has cofinality  $\omega$  and the approachability property holds at  $\lambda^+$ , is it necessarily true that there is an Aronszajn tree on  $\lambda^+$ ? If the SCH fails at  $\lambda$  does the approachability property hold?

We note that the diagram leaves many problems open (and there are "obvious" arrows that we have not included in the diagram).

### 3 $I[\lambda]$ and partial squares.

Shelah's ideal  $I[\lambda]$  was an important topic in the workshop. This ideal can be defined as follows:

**Definition** Let  $\lambda$  be a regular cardinal. Let  $\vec{X} = \langle a_\alpha : \alpha < \lambda \rangle$  be a sequence of bounded subsets of  $\lambda$ . Define  $A(\vec{X})$  (the ordinals *approachable with respect to X*) as the collection of all  $\beta < \lambda$  such that there is a set  $C \subset \beta$  such that:

1.  $C$  is unbounded in  $\beta$  and the order type of  $C$  is the cofinality of  $\beta$ .
2. For all  $\gamma < \beta$  there is an  $\alpha < \beta$  such that  $C \cap \gamma = a_\alpha$ .

This ideal is normal and  $\lambda$ -complete and turns out to have close connections to forcing, especially for arguments that show  $(\lambda, \infty)$ -distributivity.

If  $\lambda = \kappa^+$  and  $[\kappa^+]^{<\kappa^+}$  has cardinality  $\kappa^+$ , then  $I[\kappa^+]$  contains a stationary set  $S$  such that  $I[\kappa^+]$  is generated by the non-stationary ideal restricted to  $\kappa \setminus S$ . Without the cardinal arithmetic assumption, it was a longstanding open problem whether  $I[\kappa^+]$  contained a stationary subset of  $\kappa^+ \cap \text{cof}(\kappa)$ . This was recently settled by Mitchell who showed that at  $\omega_2$  this need not be the case. His techniques also show that it is consistent that  $I[\omega_2]$  is not generated by a single set over the non-stationary ideal. Mitchell's results will appear in the proceedings of this conference. While it appears promising it is not completely clear that Mitchell's techniques generalize to  $\omega_3$ . Thus we ask the following question which might not remain open for long:

**Question 16** For regular  $\kappa \geq \omega_2$  must  $I[\kappa^+]$  contain a stationary subset of  $\kappa^+ \cap \text{cof}(\kappa)$ ?

Because of its close connection to forcing it would be very useful to know the answers to the following questions:

**Question 17** Can  $I[\omega_2]$  be  $\omega_3$ -saturated? Can  $I[\omega_2] \subset J$  for some  $\omega_3$ -saturated ideal  $J$  on  $\omega_2$ ?

The *approachability property* mentioned above is the statement that  $I[\lambda]$  is not a proper ideal. If square holds, then the square sequence itself is a witness to  $\lambda \in I[\lambda]$ . In general,  $I[\lambda]$  can be viewed as those sets on which there is a defective square sequence, with its timing out of order.

We now define a closely related notion. If  $S \subset \lambda$  then a *partial square sequence* on  $S$  is a sequence of sets  $\langle C_\alpha : \alpha \in S \rangle$  such that

1.  $C_\alpha$  is an unbounded subset of  $\alpha$  of order type the cofinality of  $\alpha$ .
2. If  $\beta$  is a limit point of both  $C_\alpha$  and  $C_\gamma$  ( $\alpha, \gamma \in S$ ) then  $C_\alpha \cap \beta = C_\gamma \cap \beta$ .

Shelah showed that if  $\mu < \kappa$  are regular then  $\kappa^+ \cap \text{cof}(\mu) = \bigcup_{\delta \in \kappa} S_\delta$  where each  $S_\delta$  carries a partial square sequence. In particular,  $\kappa^+ \cap \text{cof}(\mu) \in I[\kappa^+]$ .

At successors of singular cardinals, this type of question appears quite open. In particular we would like to know the following:

**Question 18** Is it provable in ZFC that there is a partial square sequence on a stationary subset of  $\aleph_{\omega+1} \cap \text{cof}(\omega_1)$ ? On other cofinalities?

In contrast to the successors of regular cardinals, it is always the case that  $I[\kappa^+]$  contains a stationary set: if  $\kappa$  is singular and  $\mu < \kappa$  is regular, then  $I[\kappa^+]$  contains a stationary subset of  $\text{cof}(\mu)$ . Indeed in most cofinalities it not known if  $I[\kappa^+]$  can be a proper ideal. At  $\aleph_{\omega+1}$  it is consistent that there is a stationary subset of  $\aleph_{\omega+1} \cap \text{cof}(\omega_1)$  that does not belong to  $I[\aleph_{\omega+1}]$ , but this is not known at other cofinalities. This is our next question:

**Question 19** Does  $I[\aleph_{\omega+1}]$  contain a closed unbounded set relative to cofinality  $\omega_2$ ?

A related question is:

**Question 20** At successors of singular cardinals, is  $I[\lambda]$  generated by a single set over the non-stationary ideal?

In the same vein, it would be interesting to understand the relationship between the collection of approachable points in successors of singular cardinals and other natural stationary sets. A typical question here might be described as follows. If  $b_{\aleph_{\omega+1}}$  is the generator for  $PCF(\{\aleph_n : n \in \omega\})$  at  $\aleph_{\omega+1}$ , then relative to a closed unbounded set any two continuous scales agree on the collection of good points. Hence the collection of “good points” form a well-defined stationary set (modulo the closed unbounded filter). An extreme form of a question relating canonical structure would be:

**Question 21** Is  $I[\aleph_{\omega+1}] = NS \upharpoonright \{\text{Good Points}\}$ ?

We note that it is known that  $I[\aleph_{\omega+1}]$  ([12], [2], [3]) includes  $NS \upharpoonright \{\text{Good Points}\}$  and that if square holds below  $\aleph_\omega$ , then the two ideals coincide.

At the workshop Eisworth gave a collection of problems involving a “recipe” for generating ideals from square like principles and his contribution to the proceedings will list these questions.

## 4 Stationary Sets

In [6] Foreman and Magidor began to develop a theory of stationary sets for singular cardinals of countable cofinality. We work on the  $\aleph_n$ 's for simplicity. Since a subset  $A \subset \aleph_\omega$  naturally gives rise to a sequence of subsets  $S_n = A \cap \omega_n$  we deal with sequences of subsets of the  $\omega_n$ 's directly.

Let  $\theta$  be a large regular cardinal and  $S \subset PP(\theta)$ . Let  $\langle S_n : m \leq n \in \omega \rangle$  be a sequence of sets with  $S_n \subset \omega_n$ . Then the sequence  $S_n$  is *S-stationary* iff

$$\{N : \text{for all } n \geq m, \sup N \cap \omega_n \in S_n\} \in S$$

Define  $\chi_N(n) = \sup N \cap \omega_n$ . Then we can restate this as saying that  $\chi_N \in \prod_{m \leq n} S_n$ . To illustrate the definition we give two important examples:

**Example 1**  $S = \{A \subset \theta : A \text{ is stationary}\}$ . For this example we call the sequence *mutually stationary*.

**Example 2**  $S = \{A \subset \theta : A \text{ is stationary and consists of tight structures}\}$ , where  $N$  is *tight* iff  $N \cap \prod \omega_n$  is cofinal in  $\prod(N \cap \omega_n)$  (i.e.  $N \cap \prod \omega_n$  is cofinal below  $\chi_N$ .) This is called *tight stationarity*.

We note that there are many other interesting examples taken by varying  $S$ . One is obtained by taking  $S$  to be the internally approachable structures.

The theory of mutual stationarity and its variants is still in its infancy despite some success. In particular there are a large number of embarrassing problems still completely open. (Welch, in his proceedings article, gives another collection.)

**Question 22** Is there a ZFC example of a sequence of stationary sets  $\langle S_n \subset \omega_n : n \in \omega \rangle$  such that  $\langle S_n \rangle$  is not mutually stationary? For concreteness we may demand that  $S_n \subset \text{cof}(\omega_1)$ . Find a *combinatorial* property that implies the existence of such a set.

Foreman and Magidor showed that such a sequence exists in  $L$  and Welch, Schindler and others have extended their results to certain inner models for large cardinals. The question of the existence of such sequences is open even in many well-studied inner models.

Solovay showed that every stationary subset of a regular cardinal  $\kappa$  can be slit into  $\kappa$  many disjoint stationary subsets. Foreman and Magidor showed that a tightly stationary sequence of sets consisting of ordinals of a fixed cofinality  $\mu$  can be split into  $\mu$  many disjoint tightly stationary sequences. For mutual stationarity we do not know if we can split a sequence into even two disjoint mutually stationary sequences:

**Question 23** Suppose that  $\langle S_n : n \in \omega \rangle$  is mutually stationary. Are there  $\langle S_n^0, S_n^1 : n \in \omega \rangle$  such that

- $S_n$  is the disjoint union of  $S_n^0, S_n^1$
- $\langle S_n^i \rangle$  is mutually stationary for  $i = 0, 1$ .

A subproblem for Question 23 would be to isolate the appropriate Fodor's Theorem. We note that the natural conjecture would be that if  $\langle S_n : m \leq n \in \omega \rangle$  is mutually stationary, then each  $S_n$  can be partitioned into  $\omega_n$  disjoint subsets  $\langle S_n^\alpha : \alpha < \omega_n \rangle$  such that for every function  $f \in \prod_{m \leq n \in \omega} \omega_n$  the sequence  $\langle S_n^{f(n)} : m \leq n \rangle$  is mutually stationary.

There are a whole host of related problems. We note the following definitions, which we give for sets of cardinality  $\omega_1$ , again for concreteness. Let  $N \prec H(\lambda)$  have cardinality  $\omega_1$ . Then  $N$  is:

1.  $N$  is *internally unbounded* iff  $N \cap [N]^{\aleph_0}$  is unbounded in  $[N]^{\aleph_0}$ .

2.  $N$  is *internally stationary* iff  $N \cap [N]^{\aleph_0}$  is stationary in  $[N]^{\aleph_0}$ .
3.  $N$  is *internally club* iff  $N \cap [N]^{\aleph_0}$  contains a closed unbounded set in  $[N]^{\aleph_0}$ .
4.  $N$  is *internally approachable* iff  $N = \bigcup_{\alpha < \omega_1} N_\alpha$  where each  $N_\alpha$  is countable and for  $\beta \in \omega_1$ ,  $\langle N_\alpha : \alpha < \beta \rangle \in N$ .

Under certain circumstances, such as the CH, these properties are all equivalent. It is not clear in general what the relation is.

**Question 24** Give examples separating the properties 1)-4).

Many properties in set theory propagate through successor cardinals, but require special hypothesis to pass through limit cardinals. (This is one of the main reasons for the workshop.) There are however some properties where the propagation is not clear. We give one example that would seem to require useful new ideas:

**Question 25** Suppose that  $\kappa$  is regular,  $N \prec H(\theta)$  and  $N \cap [N \cap \kappa]^{\aleph_0}$  is stationary. Is  $N \cap [N \cap \kappa^+]^{\aleph_0}$  stationary?

## 5 General Combinatorial Problems

We list here several problems that were asked at the conference. The first is due to Hajnal who announced a \$250 (US) prize for *any significant progress* on the problem.

**Question 26** Does  $\omega_2 \rightarrow (\alpha)_\omega^2$  for  $\omega_1 + 1 < \alpha < \omega_2$ ?

We note that it is also an interesting problem to determine what happens at successors of singular cardinals.

Cummings reminded the audience of the following 2 closely related questions:

**Question 27** Is it consistent that there is a forcing that makes  $\aleph_{\omega+1}$  into  $\omega_2$ ?

**Question 28** Is it consistent that  $(\aleph_{\omega+1}, \aleph_\omega) \rightarrow (\omega_2, \omega_1)$ ?

In the presence of Woodin cardinals a positive answer to question 28 yields a positive answer to question 27.

Schimmerling (as explicated in his contribution to the Proceedings) noted the following question:

**Question 29** Is it consistent to have the GCH, weak square and no Suslin trees on  $\aleph_{\omega+1}$ ? What about  $\square_{\aleph_\omega}^\omega$ ?

**Question 30** (Steel) Let  $M$  be the canonical minimal iterable extender model with a Woodin limit of Woodin cardinals  $\lambda$ . Let  $N$  be a derived determinacy model obtained by forcing over  $M$  with the Levy collapse making  $\lambda = \omega_1^N$ . (Thus  $N$  satisfies  $AD_R$ .) Prove or refute:  $\Theta$  is regular in  $N$ .

*Reward:* \$200

The next two questions were asked with significant cash prizes:

**Question 31** (Steel) Prove or refute (in Peano Arithmetic): if  $ZFC +$  “there is a singular strong limit cardinal  $\kappa$  such that  $\square_\kappa$  fails” is consistent, then  $ZFC +$  “there is a superstrong cardinal” is consistent.

*Reward:* \$300 for a refutation. For a proof, \$4000 - \$500x, where x is the time in years from May 1, 2004 to the date of submission of a correct, complete manuscript. UC Berkeley faculty are not eligible for the reward.

**Question 32** (Woodin) Suppose that there is an extendible cardinal. Must HOD compute the successor correctly for some (uncountable) cardinal?

*Prize:*

$$\$1000[\max(\min(n, 10 - n), 1)]$$

where

$$n = (\text{calender year of submission}) - 2004.$$

*Terms:* Collect if a correct proof is given for either “yes”, or if a correct proof is given that the failure implies the consistency with ZFC of the large cardinal  $I_0$  of Kanamori’s book. (Details: Clay rules)

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